



Gaming Commission

One Broadway Center, P.O. Box 7500, Schenectady, NY 12301-7500
www.gaming.ny.gov

Mark D. Gearan, Chair
John A. Crotty, Commissioner
Peter J. Moschetti, Jr., Commissioner
John J. Poklemba, Commissioner
Barry Sample, Commissioner
Todd R. Snyder, Commissioner

Robert Williams, Executive Director
Edmund C. Burns, General Counsel

March 20, 2015

Alex Tucker, Sr. VP of Gaming & Finance
Saratoga Casino & Raceway
342 Jefferson St.
Saratoga Springs, NY 12866

Re: Capital View Casino & Resort application fee refund

Dear Mr. Tucker:

The NYS Gaming Commission has completed its review of the above-referenced commercial casino application. The Commission incurred certain costs associated with the processing of the application and the investigation of the applicant. Pursuant to Section 1316 (8) of the Racing, Pari-Mutuel Wagering and Breeding Law, we provide the below statement of fees received, costs incurred and balance to be refunded to the applicant.

Description	Amount
Application Fee Received	\$1,000,000.00
NYS Gaming Commission Costs Incurred (see attached summary of costs)	\$349,677.38
Total Unexpended Balance Refunded to Applicant	\$650,322.62

The unexpended balance of the application fee has been refunded today via fed wire transfer to the account you have designated. Please confirm receipt of the funds.

Supporting documentation for all expenses incurred will be forwarded via UPS to the designated applicant contact. If you have any questions or concerns regarding the refund or the documentation provided, please contact Mike Houlton at (518) 388-3322 or Mike.Houlton@gaming.ny.gov.

Sincerely,

Frank Roddy
Director of Administration & Finance

Capital View Casino & Resort

Vendor	Invoice/Order #	Description	Invoice Amount	Liability Date	Voucher Number	Payment Date	Reference #	CV Amount
B&H Photo Video	503948240	Supplies for Public Hearing	\$ 2,028.60	9/15/2014	00008354	10/14/2014	2081430	\$ 126.79
Empire State Plaza Convention Center	CVT-0000001317	Gaming Facility Location Board Meeting & Media Briefing	\$ 2,585.00	12/23/2014	00009402	2/28/2015	00004687	\$ 161.56
Hoilday Inn	BEO# 538329	Public Hearings Capital Region	\$ 5,323.34	9/17/2014	00008847	11/14/2014	2133347	\$ 1,330.84
L-1 (Morpho Trust)	Belger, Vera Vanburen Gerrity Log: 05_CV7229	Fingerprints	\$ 101.45	7/30/2014	N/A	8/31/2014	*	\$ 101.45
L-1 (Morpho Trust)	Carstanjen, William Christian Log: 05_CV5098	Fingerprints	\$ 101.45	7/30/2014	N/A	8/14/2014	*	\$ 101.45
L-1 (Morpho Trust)	Miller, Austin William Log: 05_CV3399	Fingerprints	\$ 101.45	7/30/2014	N/A	8/14/2014	*	\$ 101.45
L-1 (Morpho Trust)	Mudd, William Eugene Log: 05_CV8464	Fingerprints	\$ 101.45	7/30/2014	N/A	8/14/2014	*	\$ 101.45
L-1 (Morpho Trust)	Tse, Alan Keung Lung Log: 05_CV0172	Fingerprints	\$ 101.45	7/30/2014	N/A	8/14/2014	*	\$ 101.45
L-1 (Morpho Trust)	Gerrity, Samuel Trumbull Log: 05_CV7652	Fingerprints	\$ 101.45	8/19/2014	N/A	8/25/2014	*	\$ 101.45
NYS Media Service Center	MSC-0000000896	Captioning for facility location meeting	\$ 230.00	9/16/2014	00008150	10/21/2014	00004100	\$ 14.38
NYS Media Service Center	MSC-0000000923	Commercial Gaming Applicant Presentations	\$ 14,574.00	10/6/2014	00008347	11/18/2014	00004220	\$ 910.88
NYS Media Service Center	MSC-0000000953	Commercial Gaming Public Hearing	\$ 7,654.00	10/9/2014	00008374	11/19/2014	00004229	\$ 461.31
NYS Media Service Center	MSC-000000989	Transcription Services	\$ 4,060.00	11/26/2014	00008989	1/23/2015	00004503	\$ 253.75
NYSGC	Payroll Period 2014-7 (6/30/2014 - 7/2/2014)	Personal Services	\$ 729.04	7/2/2014	N/A	7/16/2014	N/A	\$ 729.04
NYSGC	Payroll Period 2014-8 (7/3/2014 - 7/16/2014)	Personal Services	\$ 1,642.39	7/16/2014	N/A	7/30/2014	N/A	\$ 1,642.39
NYSGC	Payroll Period 2014-9 (7/17/2014 - 7/30/2014)	Personal Services	\$ 2,170.15	7/30/2014	N/A	8/13/2014	N/A	\$ 2,170.15
NYSGC	Payroll Period 2014-10 (7/31/2014 - 8/13/2014)	Personal Services	\$ 2,498.23	8/13/2014	N/A	8/27/2014	N/A	\$ 2,498.23
NYSGC	Payroll Period 2014-11 (8/14/2014 - 8/27/2014)	Personal Services	\$ 1,907.32	8/27/2014	N/A	9/10/2014	N/A	\$ 1,907.32
NYSGC	Payroll Period 2014-12 (8/28/2014 - 9/10/2014)	Personal Services	\$ 2,566.11	9/10/2014	N/A	9/24/2014	N/A	\$ 2,566.11
NYSGC	Payroll Period 2014-13 (9/11/2014 - 9/24/2014)	Personal Services	\$ 2,551.81	9/24/2014	N/A	10/8/2014	N/A	\$ 2,551.81
NYSGC	Payroll Period 2014-14 (9/25/2014 - 10/8/2014)	Personal Services	\$ 2,502.79	10/8/2014	N/A	10/22/2014	N/A	\$ 2,502.79
NYSGC	Payroll Period 2014-15 (10/9/2014 - 10/22/2014)	Personal Services	\$ 1,990.75	10/22/2014	N/A	11/5/2014	N/A	\$ 1,990.75
NYSGC	Payroll Period 2014-16 (10/23/2014 - 11/5/2014)	Personal Services	\$ 1,161.60	11/5/2014	N/A	11/19/2014	N/A	\$ 1,161.60
NYSGC	Payroll Period 2014-17 (11/6/2014 - 11/19/2014)	Personal Services	\$ 1,057.34	11/19/2014	N/A	12/3/2014	N/A	\$ 1,057.34
NYSGC	Payroll Period 2014-18 (11/20/2014 - 12/3/2014)	Personal Services	\$ 1,761.22	12/3/2014	N/A	12/17/2014	N/A	\$ 1,761.22
NYSGC	Payroll Period 2014-19 (12/4/2014 - 12/17/2014)	Personal Services	\$ 1,609.03	12/17/2014	N/A	12/31/2014	N/A	\$ 1,609.03
NYSGC	Payroll Period 2014-20 (12/18/2014 - 12/31/2014)	Personal Services	\$ 544.02	12/31/2014	N/A	1/14/2015	N/A	\$ 544.02
NYSGC	Payroll Period 2014-21 (1/1/2015 - 1/14/2015)	Personal Services	\$ 989.04	1/14/2015	N/A	1/28/2015	N/A	\$ 989.04
NYSGC	Payroll Period 2014-22 (1/15/2015 - 1/28/2015)	Personal Services	\$ 363.23	1/28/2015	N/A	2/11/2015	N/A	\$ 363.23
NYSGC	Payroll Period 2014-23 (1/29/2015 - 2/11/2015)	Personal Services	\$ 651.42	2/11/2015	N/A	2/25/2015	N/A	\$ 651.42
NYSGC	Payroll Period 2014-24 (2/12/2015 - 2/25/2015)	Personal Services	\$ 236.86	2/25/2015	N/A	3/11/2015	N/A	\$ 236.86
NYSGC	Payroll Period 2014-25 (2/26/2015 - 2/27/2015)	Personal Services	\$ 13.06	3/11/2015	N/A	3/25/2015	N/A	\$ 13.06

Vendor	Invoice/Order #	Description	Invoice Amount	Liability Date	Voucher Number	Payment Date	Reference #	CV Amount
NYSP	Casino Detail June - July	Personal/Non-Personal Services	\$ 661.89	7/31/2014	Transfer to NYSP	9/2/2014	1540405	\$ 661.89
NYSP	Casino Detail August	Personal/Non-Personal Services	\$ 10,916.30	8/30/2014	Transfer to NYSP	11/11/2014	1650319	\$ 10,916.30
NYSP	Casino Detail September	Personal/Non-Personal Services	\$ 18,255.59	9/30/2014	Transfer to NYSP	11/11/2014	1650320	\$ 18,255.59
NYSP	Casino Detail October	Personal/Non-Personal Services	\$ 1,782.35	10/31/2014	Transfer to NYSP	11/11/2014	1650321	\$ 1,782.35
Prime at the Plaza	Invoice #'s 661, 662, 664, 665	Bidder Presentations Albany	\$ 4,660.25	9/2/2014	00008354	10/14/2014	2081430	\$ 291.27
Proftech	7121911892	HP USB Flashdrive	\$ 240.00	8/4/2014	00008101	9/11/2014	2039018	\$ 14.12
Proftech	7123280950-111-001	Supplies for Casino Applicant Presentations	\$ 90.00	8/29/2014	00008101	9/11/2014	2039018	\$ 5.63
Proftech	7123280621-000-01	Supplies for Casino Applicant Presentations	\$ 17.39	8/29/2014	00008101	9/11/2014	2039018	\$ 1.09
Staples	9712686683	Supplies for Casino Applicant Presentations	\$ 50.75	8/29/2014	00008102	9/11/2014	2039019	\$ 3.17
Staples	7124022432	Supplies for Public Hearing	\$ 110.30	9/15/2014	To be paid on 4/6/2015 CITI card statement			\$ 6.89
Taft	2641617	Gaming Advisory Services	\$ 1,255,587.16	8/12/2014	00008272	9/30/2014	0300440	\$ 32,816.49
Taft	2641736	Gaming Advisory Services	\$ 2,351,555.33	9/12/2014	00008316	10/16/2014	03038868	\$ 167,085.87
Taft	2652335	Gaming Advisory Services	\$ 529,714.31	10/28/2014	00008807	11/7/2014	03077265	\$ 29,390.02
Taft	2660283	Gaming Advisory Services	\$ 357,199.64	11/13/2014	00009316	12/16/2014	03198776	\$ 19,506.83
Taft	2700412	Gaming Advisory Services	\$ 711,381.49	3/6/2015	TBD	TBD	TBD	\$ 38,075.35
UPS	00001Y493E274	Shipping Costs	\$ 1,177.32	7/5/2014	00007983	9/23/2014	2048398	\$ 32.06
UPS	00001Y493E314	Shipping Costs	\$ 408.13	8/2/2014	00008026	9/11/2014	03038868	\$ 14.62
UPS	0000V42R95334	Shipping Costs	\$ 236.95	8/16/2014	00008106	9/19/2014	2042929	\$ 0.38
UPS	00001Y493E384	Shipping Costs	\$ 86.93	9/20/2014	00008328	10/17/2014	2088559	\$ 3.85
Total NYS Gaming Commission Costs Incurred								\$ 349,677.38

* Fingerprints are paid out of a NYS Gaming Commission escrow account that maintains a balance and is replenished as needed.