

George E. Pataki, Governor
Michael J. Hoblock, Jr., Chairman
Cheryl Ritchko-Buley, Member
Edward J. Martin, Executive Director

NEW YORK STATE RACING AND WAGERING BOARD
Annual Report and Simulcast Report
Calendar year 2003

Mission Statement of the Racing and Wagering Board:

Our Purpose is to ensure that New York State's legalized casinos, pari-mutuel, and charitable gambling activities operate with integrity and are in full compliance with New York State statutes and rules.

We safeguard the interest of the public, including the taxpayers and patrons by ensuring that the regulated entities and their employees participating in, or benefiting from legalized gambling operate with probity. We will expeditiously respond to all public concerns.

Executive Management espouses that **personal integrity is paramount** for all Board employees who must ensure honest and proper conduct of legalized gambling and other regulated activities. To properly serve the public, all employees must perform their duties in an ethical manner that is above reproach. To meet this goal we advocate teamwork, intra-agency communication, mutual respect, training, and advancement to reach each employee's potential.

Only through vigilance, competence and dedication can the Board and its employees be successful in performing its **statutory responsibilities**.

Contributors to this report:

Stacy Clifford

Patrick Wade

John Kinnicutt

Corey Pitkin

Heather Niemiec

Joseph Lynch

Robert Williams

Chairman
Michael J. Hoblock, Jr.



Executive Director
Edward J. Martin

Members
Cheryl Ritchko-Buley

Secretary to the Board
Erin E. Dahlmeyer

**STATE OF NEW YORK
RACING AND WAGERING BOARD**

July 1, 2004

To: The Honorable George E. Pataki, Governor
Members of the New York State Legislature, and
Carole E. Stone, Director of the Budget

On behalf of the members and staff of the New York State Racing and Wagering Board, it is my pleasure to present the 2003 Annual Report. This report, which is submitted to the Governor and the Legislature in accordance with Chapter 346 of the Laws of 1973, reflects the activities of the Board and our regulated industries during the proceeding year. As in past years, the Simulcast Report, as required by Racing, Pari-Mutuel Wagering and Breeding Law Section 1002.2, is incorporated into the Annual Report. Included in the report are an outline of the structure of the Board, a summary of our legislative agenda, and significant statistical data. This past years saw the following major developments affecting racing and wagering in our State:

- Deregulation through legislative change, allowing new opportunities to the off-track betting corporations and racetracks by allowing unlimited simulcasting and the ability to more aptly respond to market conditions by pricing their own product.
- A total of \$2.7 billion was wagered on horse racing across the State.
- Bona fide religious, charitable or non-profit organizations of veterans, volunteer firefighter and similar non-profit organizations around New York State continue to benefit from the conduct of games of chance and bingo. Public support of charitable gambling endeavors was evidenced by the \$410 million wagered on charitable gaming activities. This wagering resulted in \$76 million being raised for the charities.
- The Seneca Nation of Indians opened the first of the facilities contemplated by Chapter 383 of the Laws of 2001.

The Board would like to express our appreciation for the cooperation rendered by the Governor, the State Legislature, other public officials, and various track operators and industry leaders.

We look forward to the future as the Board meets the challenges of this ever changing industry and continues to serve as a national leader in the field of gaming regulation. The Board will work diligently toward that end as we continue to serve the public in 2004 and beyond.

Respectfully submitted,

Handwritten signature of Michael J. Hoblock, Jr.

Michael J. Hoblock, Jr.
Chairman

Handwritten signature of Cheryl Buley.

Cheryl Buley
Member

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Members of the Board

The New York State Racing and Wagering Board consists of three members, appointed by the Governor with the consent of the New York State Senate, for six-year terms. Members are eligible for reappointment at the discretion of the Governor. Of the three, one is designated by the Governor to serve as Chairman. There is currently one vacancy on the Board.

Members of the Board meet each month to conduct business, approve racing dates, decide matters of racing importance, and mete out penalties to certain licensees found in violation of racing rules and law. Additional meetings are routinely called on items of utmost importance. The Board met 17 times during calendar year 2003. Meetings are held at the Board's Albany office on the second floor of 1 Watervliet Avenue Extension, Albany, NY. All board meetings are open to public attendance and have an agenda which is released 48 hours prior by written notice and publication on the Board's website.



Chairman Michael J. Hoblock, Jr. was appointed Chairman of the New York State Racing and Wagering Board by Governor George Pataki and confirmed by the New York State Senate on May 6, 1997. Mr. Hoblock was reconfirmed and appointed to a second six-year term as Chairman on June 21, 2002. Concurrently, Mr. Hoblock serves as Chairman of the Agriculture and New York State Horse Breeding and Development Fund; Vice-Chairman of the New York State Thoroughbred Breeding and Development fund; Vice-Chairman of the New York State Capital Investment Fund; and Vice Chairman of the Harry M. Zweig Memorial Fund.

Mr. Hoblock has a lengthy background in public service. Starting in 1978, he served three terms in the New York State Assembly, followed by six years as a member of the Colonie Town Board and three years as Albany County Executive. In 1994, Mr. Hoblock was elected to the New York State Senate.

Mr. Hoblock voluntarily served with the United States Marine Corps and is a veteran of the Vietnam War. As a Marine, Mr. Hoblock served as an Infantry Company Commander, Judge Advocate and Military Judge. Later he established a private practice where he practiced law for 25 years.

As co-owner of Standardbreds in the early 1970's, Mr. Hoblock had the opportunity to experience the racing industry firsthand as an active participant. While his horses raced primarily at harness tracks in New York State, Mr. Hoblock gained valuable knowledge that has served him well in his role as Chairman of the Board. In addition, as a legislator, he was instrumental in securing passage of legislation that was beneficial to the charitable gaming industry. From 1979 to 1985, he also served as Vice-Chairman of the Elks Government Relations Committee and assisted with the formation of the NYS Division of CONPOR, the Conference of Private Organizations.

Mr. Hoblock resides in Loudonville, New York with his wife Karen.



Board Member Cheryl Ritchko-Buley, appointed to the Racing and Wagering Board by Governor Pataki, was confirmed by the New York State Senate on June 13, 2000 and is the first woman to serve on the Board. Ms. Buley graduated summa cum laude from Boston University where she earned a masters degree in public relations in 1988. She completed an international business program at the University of Copenhagen in Denmark and holds a bachelor's degree in business and marketing from The State University of New York at Plattsburgh. Ms. Buley began her career working as a legislative aide for New York State Senator Joseph L. Bruno from 1985 to 1986.

She moved into the private sector as a consultant, where she developed award winning public information campaigns for McDonald's Corporation and The Dental Society of the State of New York. Among the clients she has served is the president of the American Dental Association, McDonald's Corporation – where she managed regional chapters of Ronald McDonald House Charities, Sheraton Hotels, and Marine Midland Bank. She also worked in Oslo, Norway for Arthur Young as a market analyst in 1990.

Ms. Buley resides in Slingerlands, New York with her husband and two children.

Executive Staff



Edward J. Martin, Jr., Executive Director joined the New York State Racing and Wagering Board as Executive Director in April, 1997. He manages a staff of approximately 250 professionals responsible for the enforcement and adjudication of laws and regulations governing virtually all forms of legal wagering in New York State. Prior to his appointment to this position by Governor Pataki, Mr. Martin was the Executive Deputy Commissioner of the New York State Department of Economic Development. In this capacity he was not only responsible for the State's economic development programs but also the I Love NY tourism campaign and the Governor's Motion Picture Office.

In 1995, Mr. Martin was appointed by the Governor to serve as one of seven members of the Commission on Casino Gambling and was responsible for assessing the economic impact of the legalization of casino gambling on New York State.

Prior to joining the Pataki administration, Mr. Martin was a strategic consultant to various insurance, energy, telecommunications, broadcast, political, defense, and off-track wagering concerns. He worked in the State Legislature as Communications Director for the New York State Senate and in Washington as Director of External Affairs and Congressional Relations for the Federal Energy Regulatory Commission and Press Secretary to U.S. Senator Alfonse D'Amato.

In addition, the following individuals serve as members of the Executive Staff (listed in alphabetical order):

Brian Barry, *Director of Racing Officials*
Thomas Casaregola, *Director of Audits and Investigations*
Stacy Clifford, *Public Information Officer*
Erin Dahlmeyer, *Secretary to the Board*
Kevin Dempsey, *Director of Administration*
Robert Feuerstein, *General Counsel*
Joseph Lynch, *Chief of Racing Operations*
Daryll Messner, *Director of Charitable Gaming*
Bruce Samboy, *Manager of Gaming Operations*

About the Board

The Board has a staff of approximately 300, half of which are seasonal per diem employees assigned to the racetracks. The staff is managed by an Executive Director reporting to the Chairman who is responsible by statute for oversight of the staff. The Board consists of 7 departments: Administration, Audits and Investigations, Charitable Gaming, Casino Gambling, Counsel's Office, Racing Operations and Officials and the Secretary's Office.

Racing

Each year the Board issues track licenses to each of the state's operating racetracks, both thoroughbred and harness. The Board also issues simulcast licenses to the racetracks and off track betting corporations to enable simulcasting of New York and out-of-state races.

It is the Board's responsibility to ensure the honesty and integrity of all horse races conducted in New York. All racing participants including jockeys, drivers, owners, trainers, grooms, track management, agents concessionaires, veterinarians, exercise riders, and others who work at New York State racetracks must be licensed by the Board each year. The licensing process involves a complete criminal background check, including a review of the applicant's experience, if any, in other states, an assessment of the applicant's character and fitness, and in some cases, a determination of the applicant's competency to perform the duties for which the license is sought. Once issued, a license may be suspended or revoked by the Board for any just cause.

Each race conducted in New York is observed by at least three stewards or judges. The stewards' viewing stand is located near the finish line of each racetrack and is equipped with several television monitors to permit a viewing of multiple angles of each race. The stewards observe the races to ensure that all conduct is in accordance with rules and regulations.

Casino Gambling

Board inspectors patrol the casino floors at all times to ensure that the games are run consistent with the "compact" (i.e.: treaty) between the Indian Nation and the State of New York. The Board's primary role is to protect the patrons by ensuring that the games are conducted properly.

Each compact requires that all individuals involved with Class III gaming must be properly certified as a gaming employee by the Board. The Board's licensing department is responsible for the review and subsequent approval or denial of the applications submitted.

Charitable Gaming

The primary focus of the charitable gaming unit is to work with licensed organizations to bring them into compliance with state law and Board rules.

By law, the Board is required to review applications for registration and identification numbers for any charitable organization seeking to conduct games of chance or bingo. The Board denies applications for those who are not charitable or who have been excluded by statute, i.e.: political party organizations and labor unions.

Staff reviews quarterly reports from charitable organizations and, on occasion requires an audit to be done to ensure that the proceeds from charitable gaming and bingo are used for charitable purposes.

Brief History of the Board

The State Legislature established the New York State Racing and Wagering Board in 1973. The Board was created to combine the functions of the various existing racing commissions to provide a centralized authority. Of the consolidated commissions, the State Racing Commission was the oldest, having been established in 1895. The Commission also held the prestige of being the oldest racing regulatory body in the nation. When the Racing and Wagering Board assumed the powers and duties of the Racing Commission, a reconstituted State Racing Commission was created as an advisory board.

In April 1970, New York State enacted a law permitting local communities to operate pari-mutuel off-track betting facilities. New York City OTB was the first, which started in 1971. Schenectady OTB followed in 1971, and then Western OTB commenced in 1974. Nassau OTB opened its first branch on January 31, 1975 followed by Suffolk OTB in April 1975 and Catskill OTB in 1976. At the time, simulcasting consisted of an audio signal, which was transmitted to the betting facilities. Simulcasting a live audiovisual signal was first authorized by the State Legislature in 1984 on an experimental basis and was extended permanently in 1990.

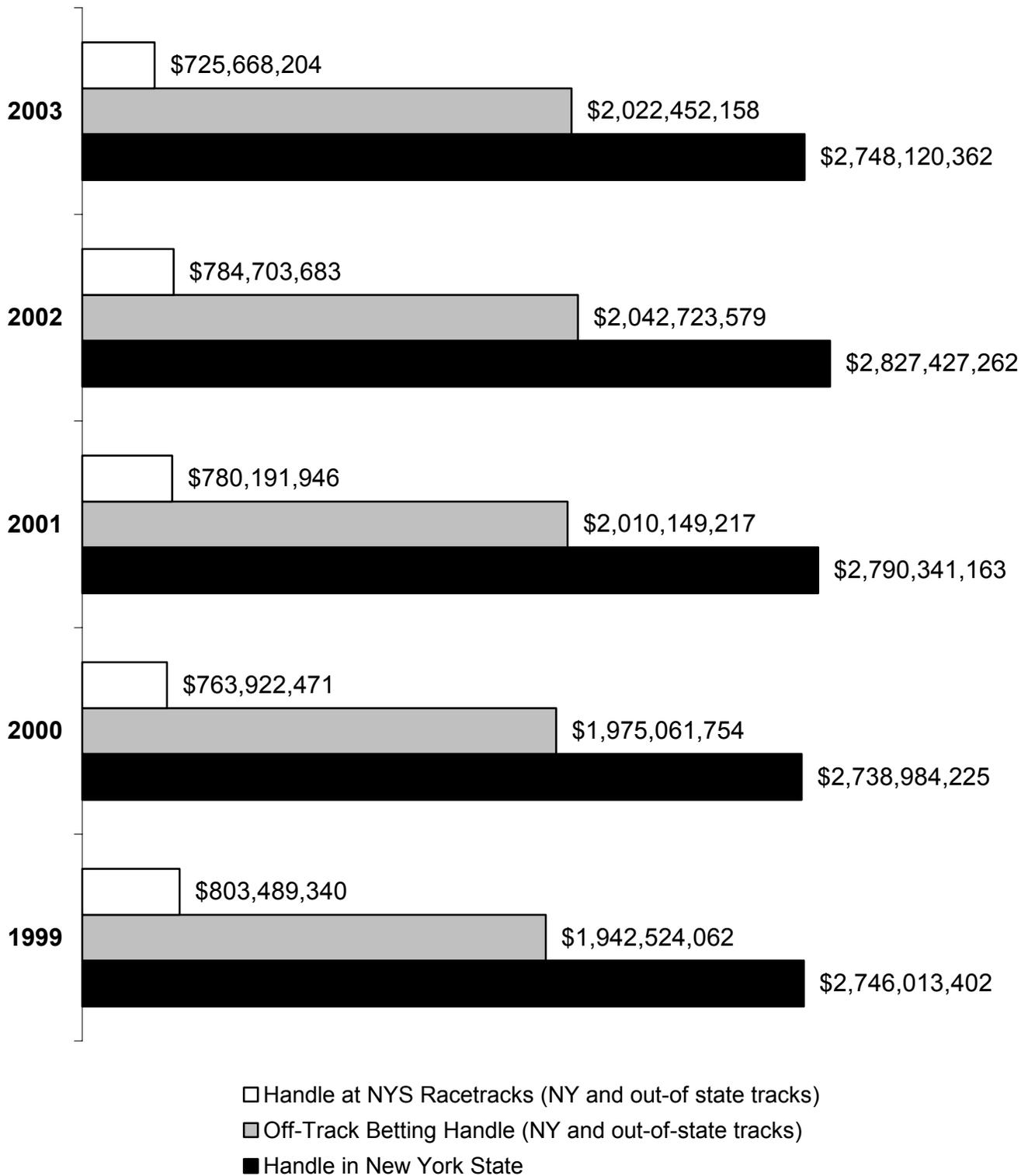
The first permanent appointment to the Racing and Wagering Board was Joseph H. Boyd, Jr. Governor Nelson Rockefeller appointed him as a member on June 30, 1973. Emil Mosbacher, Jr., the first Chairman of the Board was appointed on August 1, 1973. Including Chairman Mosbacher, there have been seven individuals who have served as Chairman of the Board, the longest term served by Richard Corbisiero from 1985 to 1995.

In 1977, the functions of the Bingo Control Commission were transferred to the Board. Since this transfer the Board has regulated both bingo and games of chance conducted by religious, charitable and certain non-profit organizations. Bingo was authorized pursuant to a constitutional amendment passed in 1957. Games of chance were authorized by constitutional amendment in 1975. Since then, the legislature has twice acted to expand the games permitted for charitable fundraising. In October of 1988, the Legislature amended the law to include bell jar (pull-tab) tickets and in April of 1994, raffles were included as a game of chance.

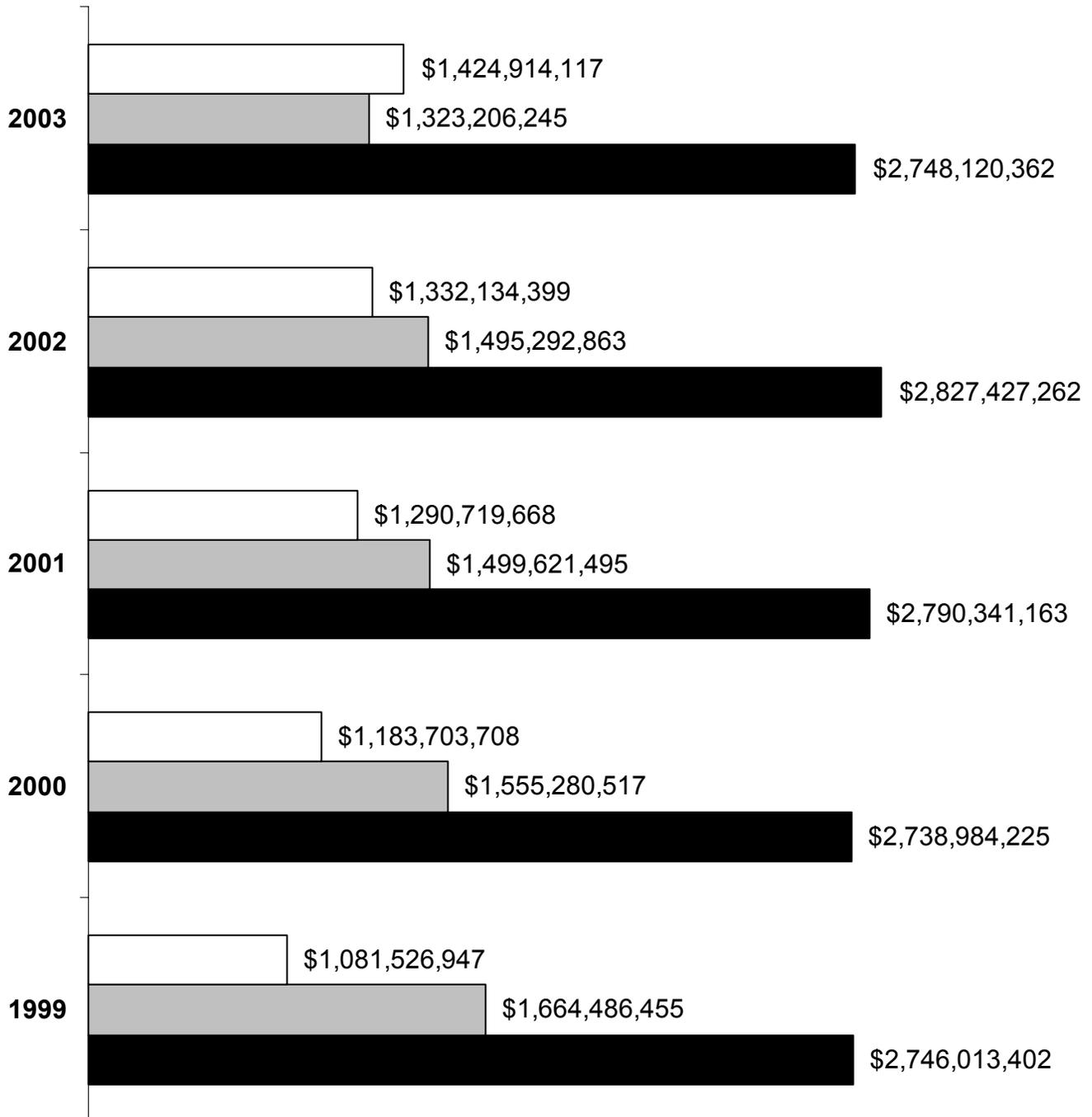
Timeline - Chairmen of the Racing and Wagering Board

May 6, 1997 - Present	Michael J. Hoblock, Jr.
Sept. 1996 - May 1997	No Chairman
May 2, 1995 - Aug. 29, 1996	Jerry Bilinski
May 20, 1986 - March 15, 1995	Richard F. Corbisiero, Jr.
July 19, 1979 - March 5, 1986	John Van Lindt
1978	No Chairman - Executive Director was John Van Lindt
1976 - 1977	William G. Barry
1975 - 1976	Bertram Sarafan
August 1, 1973	Emil Mosbacher, Jr. appointed first Chairman of the Board
June 30, 1973	Joseph H. Boyd, Jr. First Chairman - appointed by Gov. Rockefeller.

Total Pari-Mutuel Handle- Racetracks and Off-Track Betting

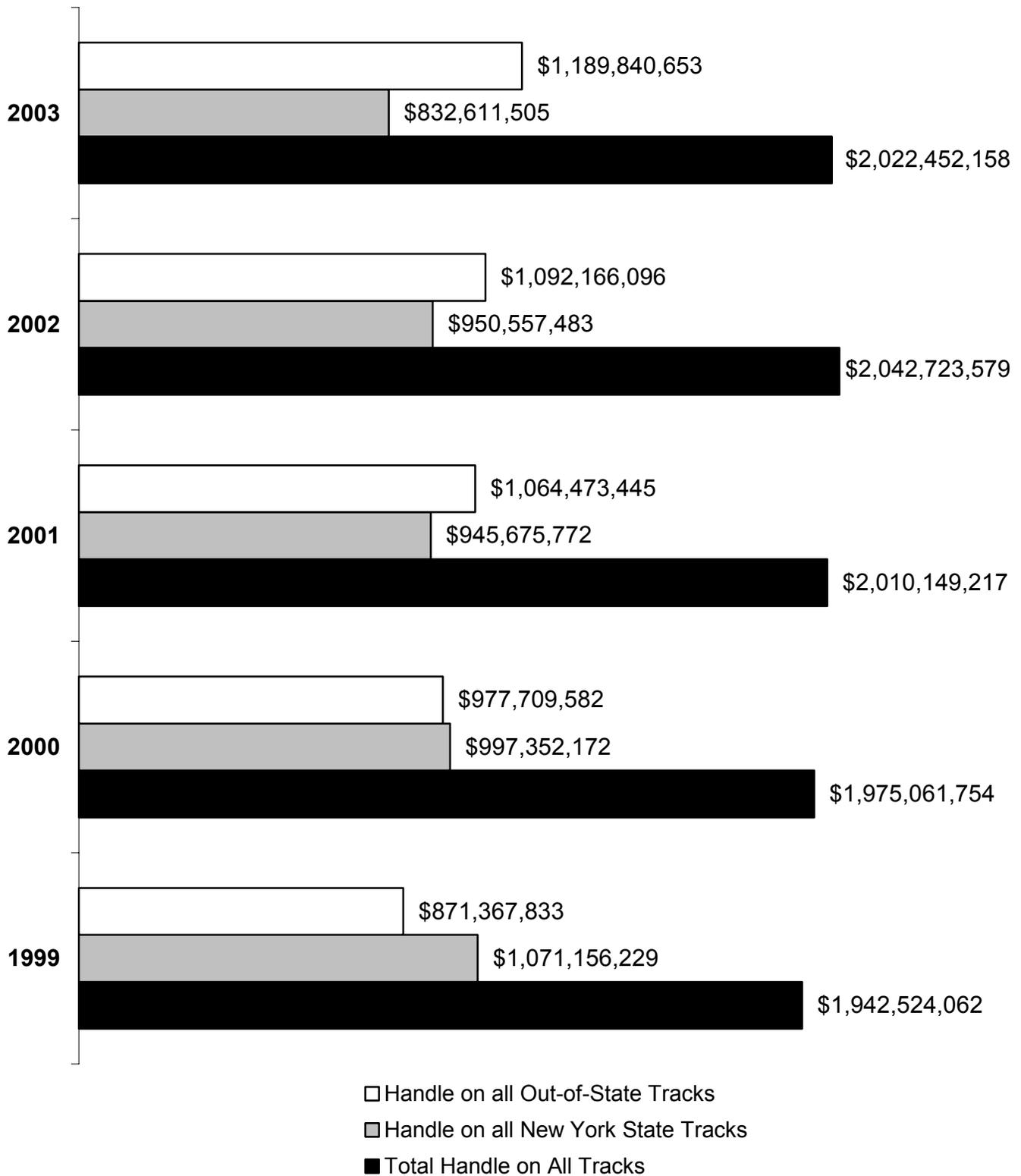


Total Pari-Mutuel Handle- New York State vs. Out-of State

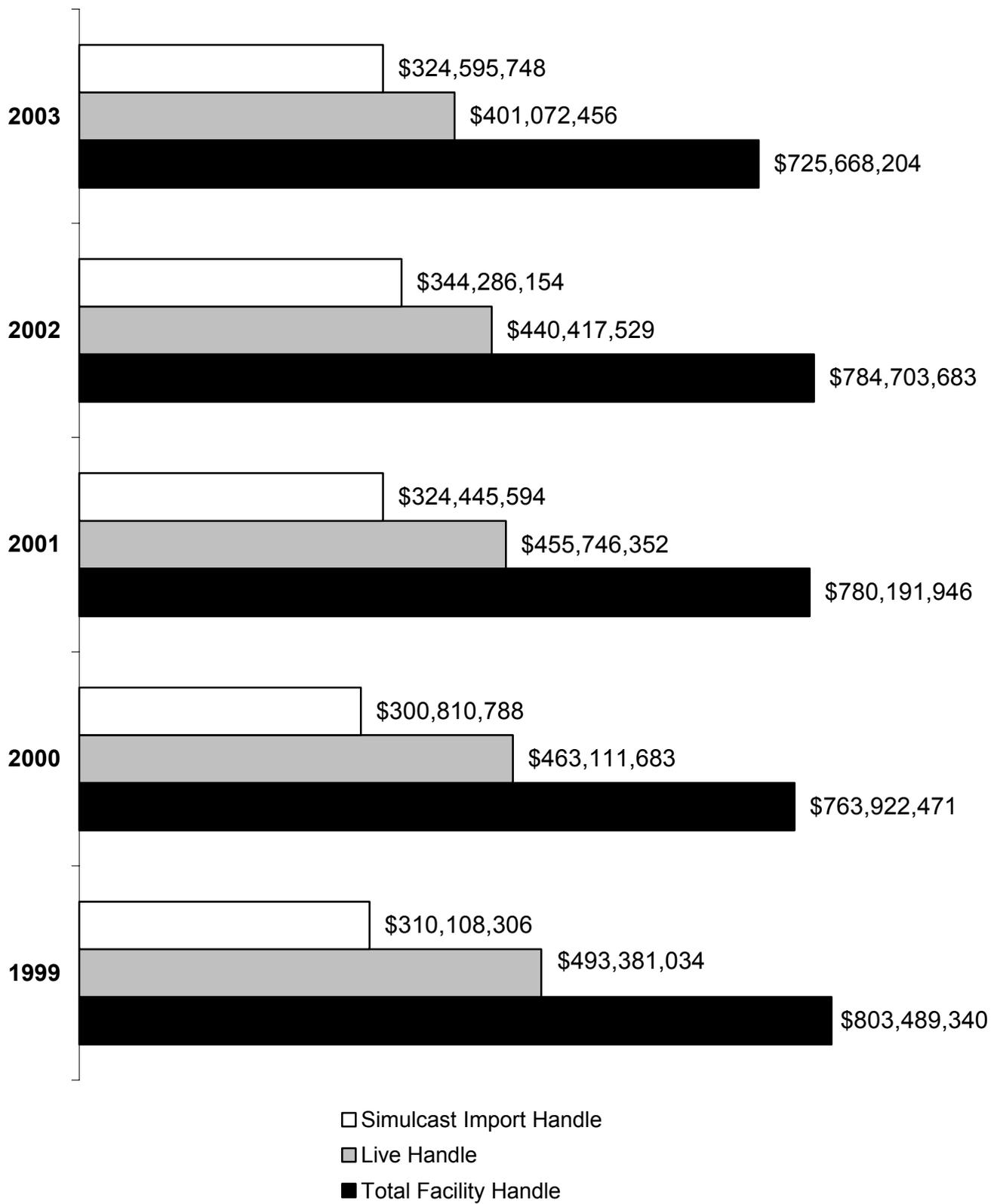


- Handle on Out-of-State tracks
- Handle on New York tracks
- Total Handle in New York State

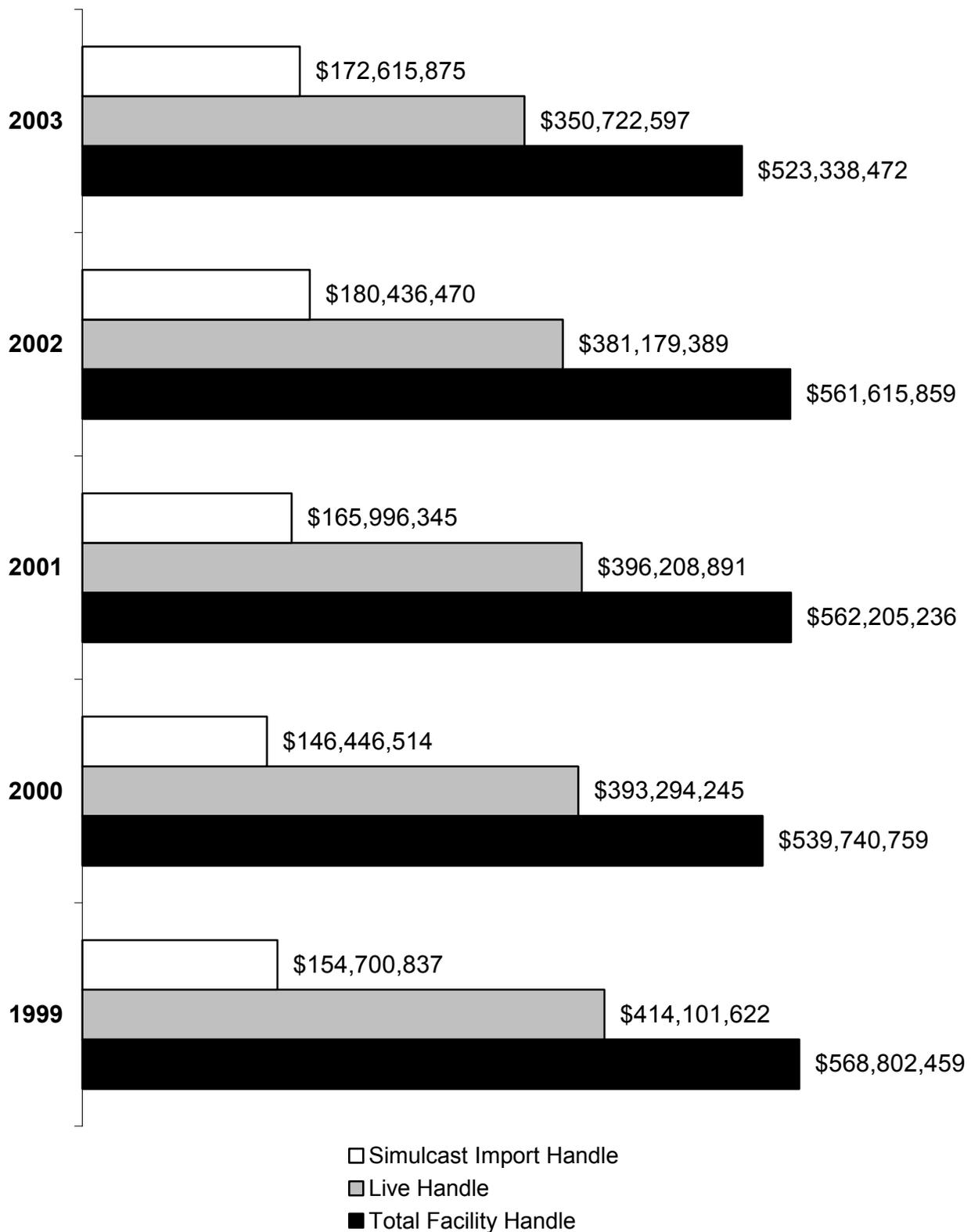
Off Track Betting Handle- New York State vs. Out-of-State



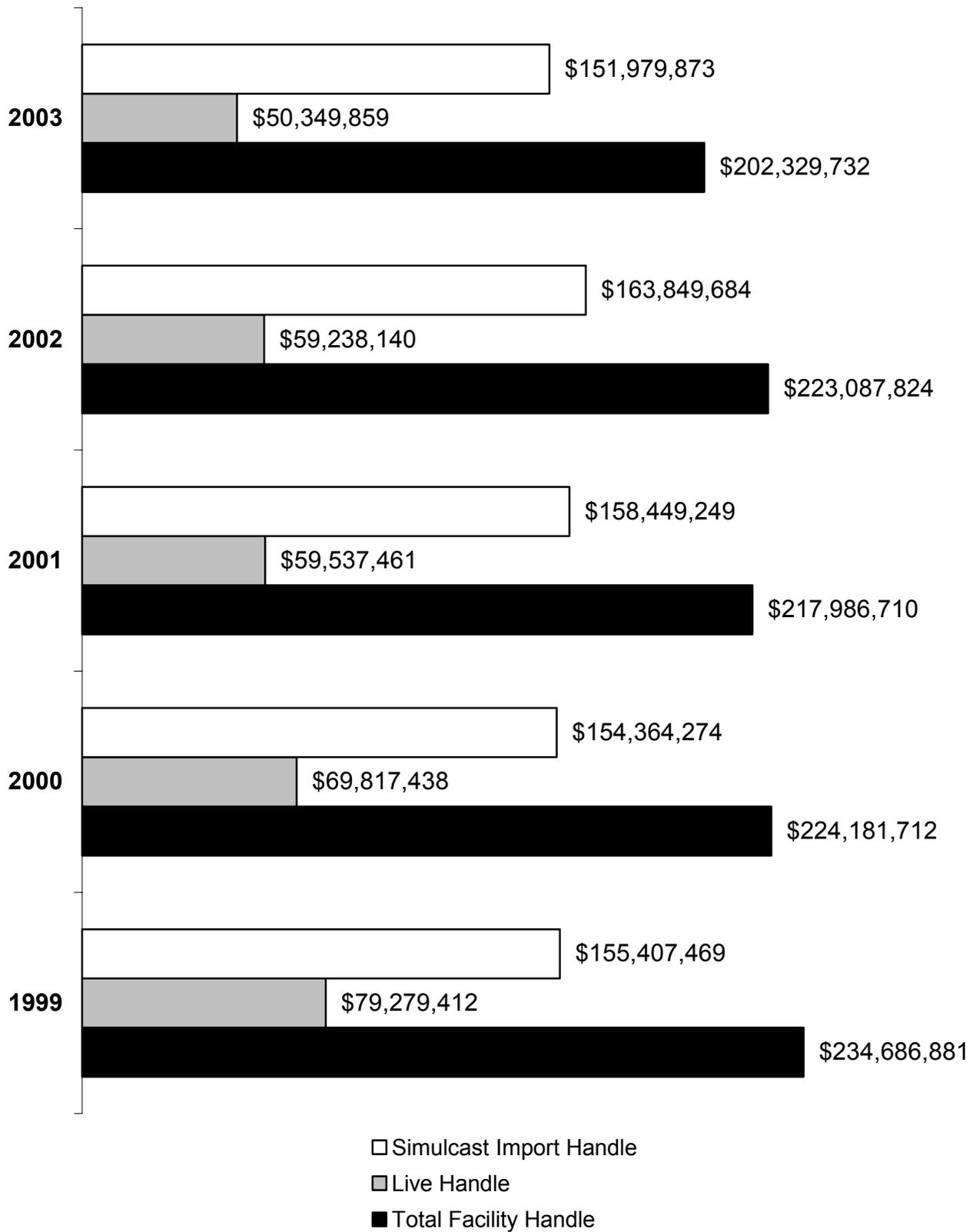
Pari-Mutuel Handle-New York Thoroughbred and Harness Tracks



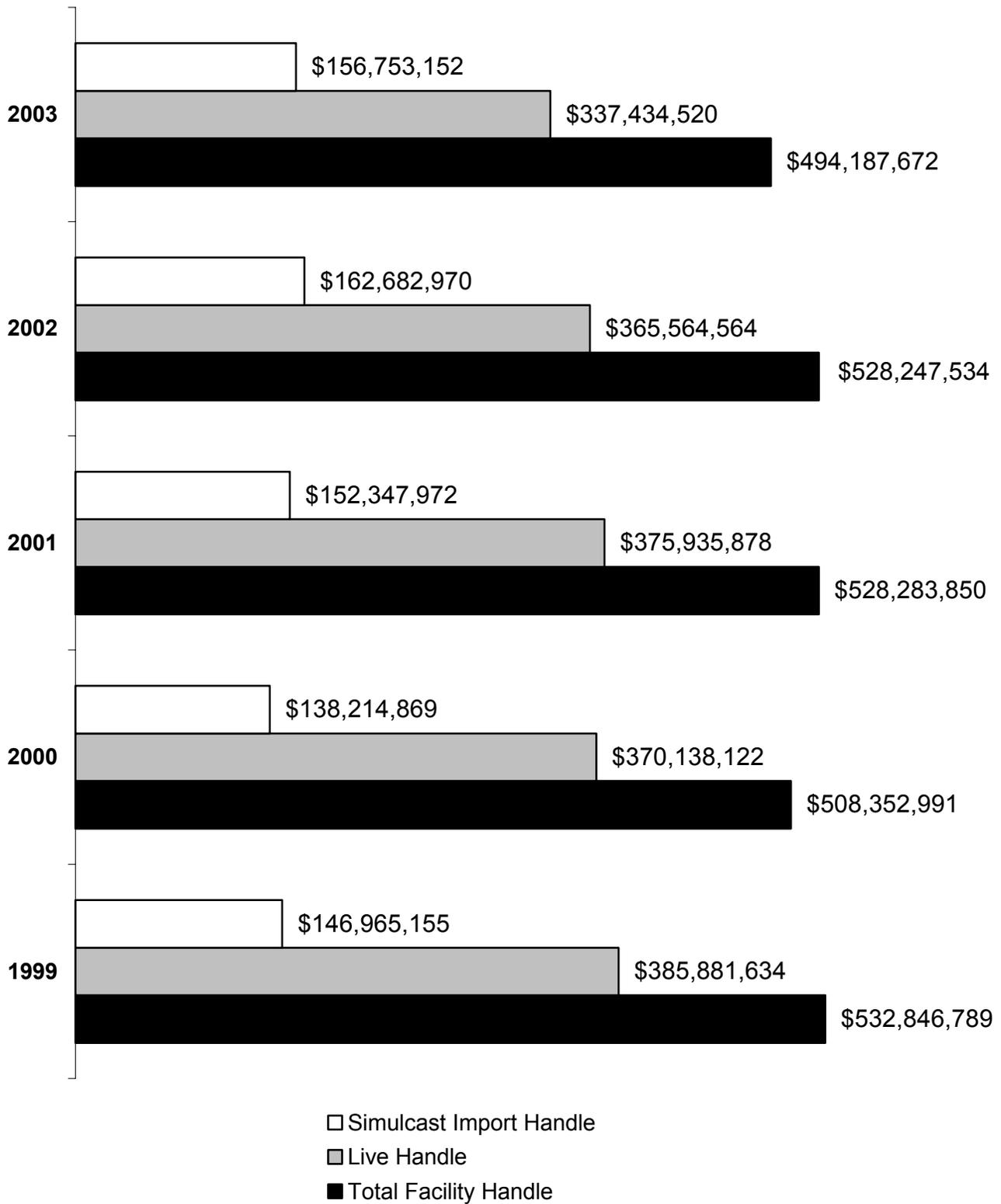
Pari-Mutuel Handle - New York Thoroughbred Tracks



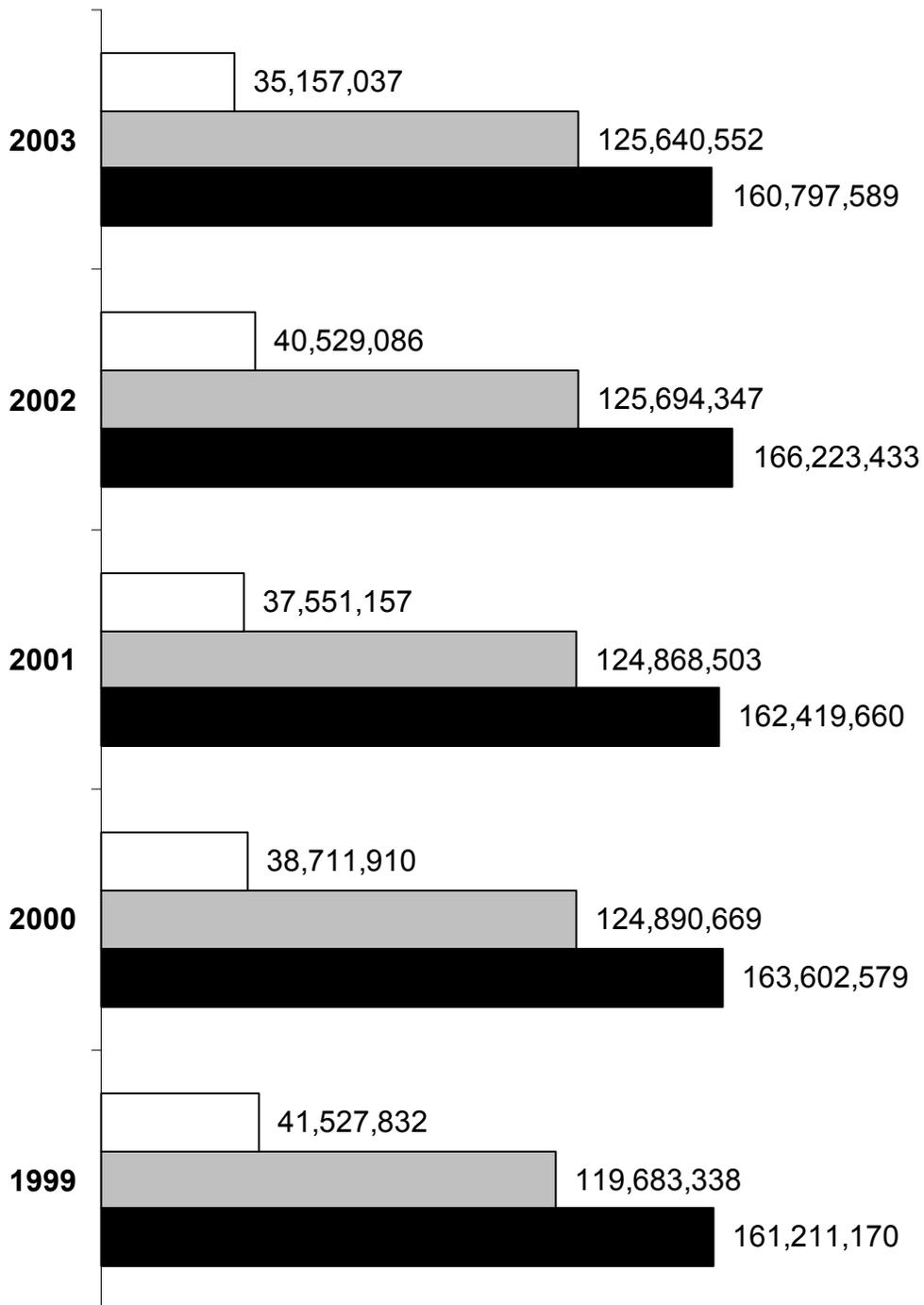
Pari-Mutuel Handle - New York Harness Tracks



Pari-Mutuel Handle at NYRA - Aqueduct, Belmont, Saratoga



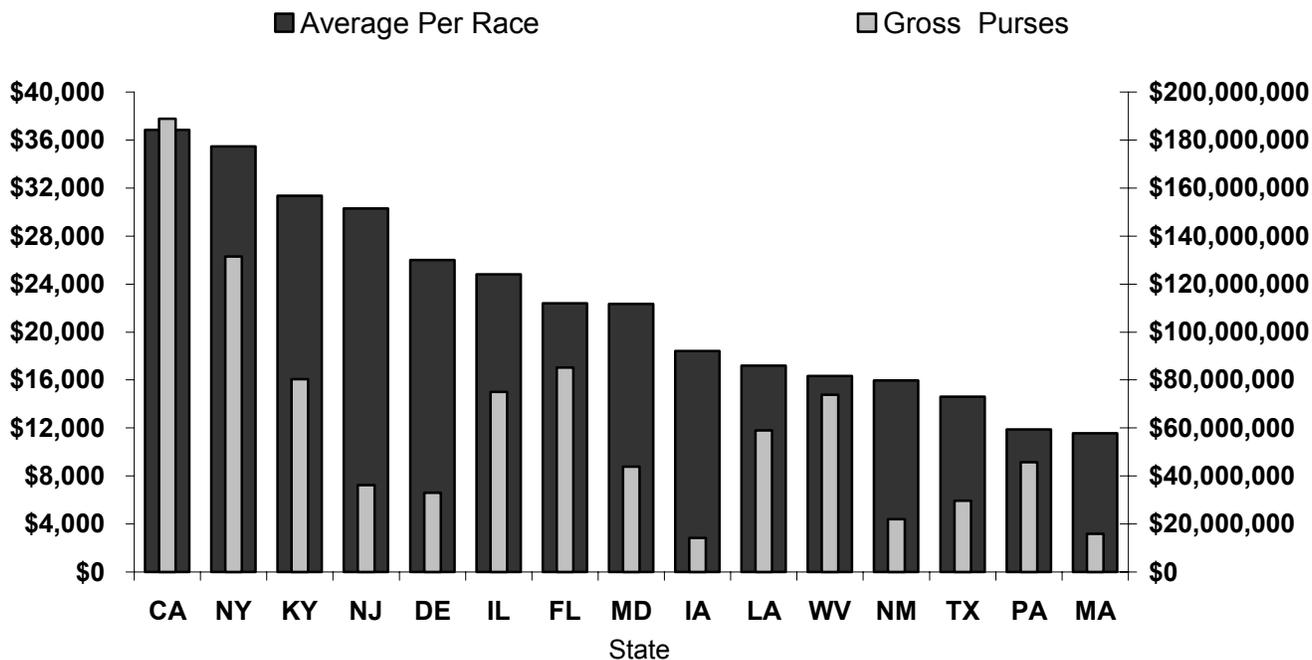
Gross Purses Paid at New York State Racetracks



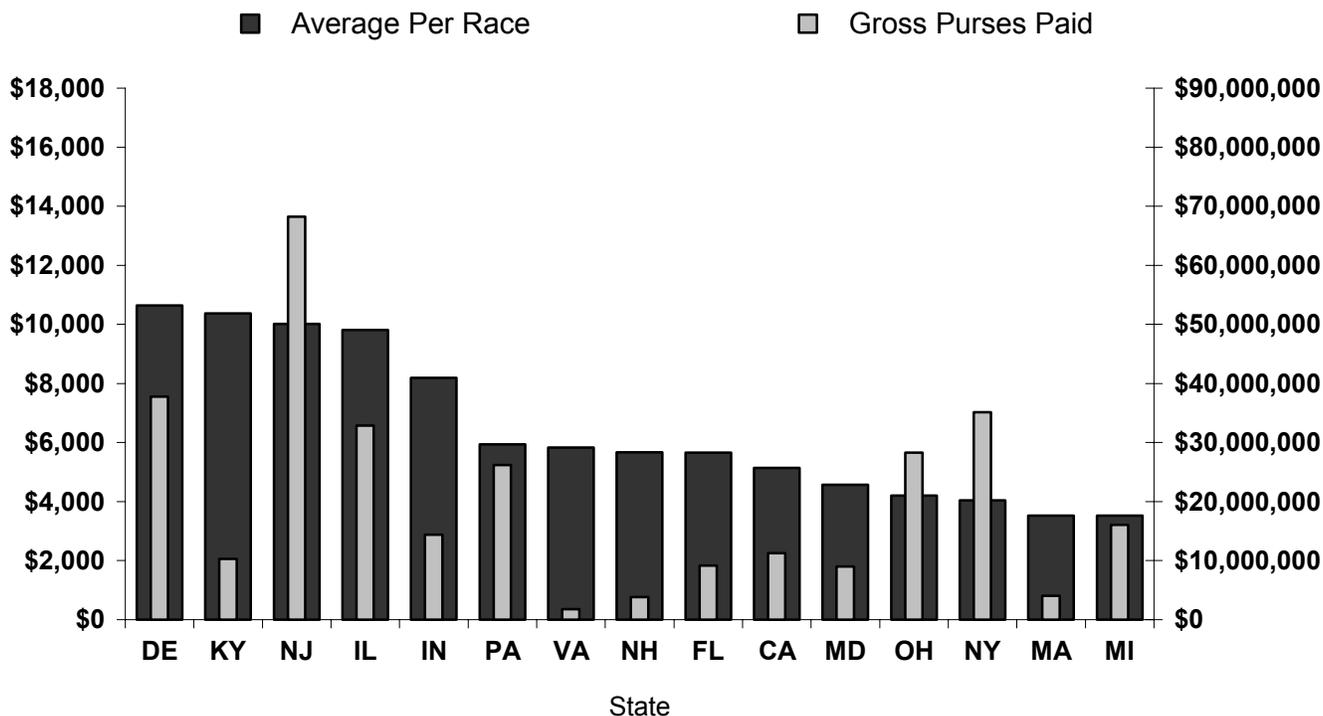
- Total New York State Harness Tracks
- Total New York State Thoroughbred Tracks
- Total New York State Racetracks

Gross Purses - New York State vs. Nationwide

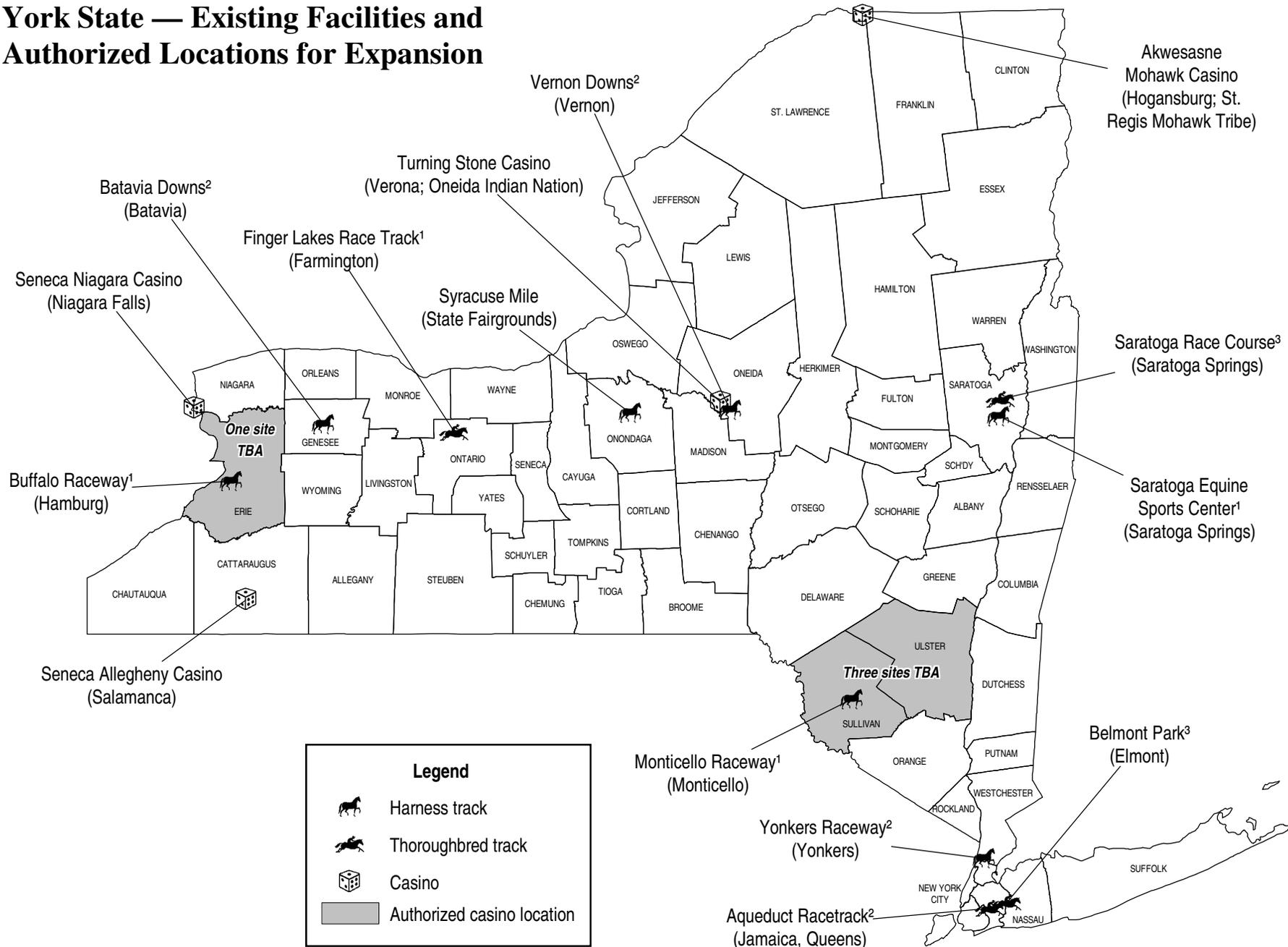
Purses paid at Thoroughbred Tracks Nationwide



Purses paid at Harness Tracks Nationwide



Gambling Establishments in New York State — Existing Facilities and Authorized Locations for Expansion



Legend	
	Harness track
	Thoroughbred track
	Casino
	Authorized casino location

¹ Video lottery terminals (VLTs) in place.
² VLTs authorized but not installed.
³ VLTs expressly prohibited.

Thoroughbred Tracks

Aqueduct Racetrack New York Racing Association Phone: (718) 641-4700 Website: www.nyra.com/Aqueduct		Year	Race Dates	Attendance
		2003	122	554,058
		2002	133	678,707
		2001	134	638,303
		2000	134	681,263
		1999	137	761,873
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 194,828,473	\$ 101,956,483	\$ 92,871,990	
2002	\$ 230,276,443	\$ 124,884,338	\$ 105,392,105	
2001	\$ 221,054,170	\$ 123,405,133	\$ 97,649,037	
2000	\$ 225,819,603	\$ 128,885,414	\$ 96,934,189	
1999	\$ 247,716,792	\$ 142,705,705	\$ 105,011,087	

Belmont Park New York Racing Association 2150 Hempstead Turnpike Elmont, New York 11003 Phone: (516) 488-6000 Website: www.nyra.com/Belmont		Year	Race Dates	Attendance
		2003	93	703,456
		2002	88	667,235
		2001	87	684,961
		2000	88	685,035
		1999	87	709,357
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 164,468,817	\$ 118,069,796	\$ 46,399,021	
2002	\$ 163,846,419	\$ 125,279,727	\$ 38,566,692	
2001	\$ 173,650,448	\$ 135,971,744	\$ 37,678,704	
2000	\$ 162,215,066	\$ 124,896,081	\$ 37,318,985	
1999	\$ 169,408,508	\$ 131,206,388	\$ 38,202,120	

Saratoga Race Course New York Racing Association Union Avenue Saratoga Springs, NY 12866 Phone: (518) 584-6200 Website: www.nyra.com/Saratoga		Year	Race Dates	Attendance
		2003	36	1,049,309
		2002	36	999,388
		2001	36	1,011,669
		2000	36	978,296
		1999	36	920,216
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 134,890,382	\$ 117,408,241	\$ 18,724,173	
2002	\$ 134,124,672	\$ 115,400,499	\$ 18,724,173	
2001	\$ 133,579,232	\$ 116,559,001	\$ 17,020,231	
2000	\$ 120,318,322	\$ 116,356,627	\$ 3,961,695	
1999	\$ 115,721,490	\$ 111,969,541	\$ 3,751,949	

Thoroughbred Tracks

Finger Lakes Racetrack Delaware-North Incorporated PO Box 25250 Farmington, New York 14425 Phone: (716) 924-3232 Website: www.fingerlakesracetrack.com		Year	Race Dates	Attendance
		2003	154	190,353
		2002	161	197,182
		2001	165	207,681
		2000	167	222,590
		1999	176	252,342
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 29,150,800	\$ 13,288,077	\$ 15,862,723	
2002	\$ 33,368,325	\$ 15,614,825	\$ 17,753,500	
2001	\$ 33,921,386	\$ 20,273,013	\$ 13,648,373	
2000	\$ 31,387,768	\$ 23,156,123	\$ 8,231,645	
1999	\$ 35,955,670	\$ 28,219,988	\$ 7,735,682	

***Finger Lakes changed its name to Finger Lakes Gaming and Racetrack in 2004*

Harness Tracks

Batavia Downs 8315 Park Road Batavia, New York 14020 Phone: (716) 343-3750 Website: www.batavia-downs.com		Year	Race Dates	Attendance
		2003	68	70,197
		2002	72	104,151
		2001	Simulcast only	N/A
		2000	N/A	N/A
		1999	N/A	N/A
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 10,291,457	\$ 3,420,176	\$ 6,871,281	
2002	\$ 10,696,674	\$ 3,531,175	\$ 7,165,499	
2001	\$ 577,344	\$ 0	\$ 577,344	
2000	N/A	N/A	N/A	
1999	N/A	N/A	N/A	

Buffalo Raceway Buffalo Trotting Association, Inc. PO Box 38 Hamburg, New York 14075 Phone: (716) 649-1280 Website: www.buffaloraceway.com		Year	Race Dates	Attendance
		2003	66	41,832
		2002	84	54,185
		2001	127	87,022
		2000	143	104,934
		1999	173	120,008
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 12,920,173	\$ 2,792,284	\$ 10,127,889	
2002	\$ 16,433,612	\$ 3,881,305	\$ 12,552,307	
2001	\$ 19,422,863	\$ 6,525,727	\$ 12,897,136	
2000	\$ 22,403,168	\$ 8,039,808	\$ 14,363,360	
1999	\$ 23,818,138	\$ 9,440,706	\$ 14,377,432	

***Buffalo Raceway changed its name to Fairgrounds Gaming and Raceway in 2004*

Harness Tracks

Monticello Raceway Monticello, New York 12701 Phone: (845) 794-4100 Website: www.monticelloraceway.com		Year	Race Dates	Attendance
		2003	204	54,267
		2002	221	62,941
		2001	209	60,134
		2000	213	64,429
		1999	216	76,891
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 16,052,175	\$ 7,224,785	\$ 8,827,390	
2002	\$ 19,575,363	\$ 9,352,988	\$ 10,222,375	
2001	\$ 18,933,894	\$ 9,671,758	\$ 9,262,136	
2000	\$ 21,124,621	\$ 10,411,505	\$ 10,713,116	
1999	\$ 22,162,641	\$ 11,355,013	\$ 10,807,628	

***Monticello Raceway changed its name to the Mighty M in 2004*

Saratoga Raceway Saratoga Harness Racing, Inc. PO Box 356 Saratoga Springs, New York 12866 Phone: (518) 584-2110 Website: www.saratogaraceway.com		Year	Race Dates	Attendance
		2003	129	N/A**
		2002	122	N/A**
		2001	134	95,623
		2000	143	95,159
		1999	139	111,802
<i>**Began free admission and stopped tracking attendance.</i>				
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 31,644,865	\$ 6,145,003	\$ 25,499,862	
2002	\$ 30,487,685	\$ 6,186,778	\$ 24,300,907	
2001	\$ 29,033,039	\$ 6,157,071	\$ 22,875,968	
2000	\$ 27,943,724	\$ 6,492,682	\$ 21,451,042	
1999	\$ 23,818,138	\$ 6,821,872	\$ 20,042,129	

***Saratoga Raceway changed its name to Saratoga Gaming and Raceway in 2004*

Syracuse Mile New York State Fairgrounds Syracuse, New York 13209 Phone: (315) 487-7711		Year	Race Dates	Attendance
		2003	N/A	N/A
		2002	5	6,615
		2001	5	6,414
		2000	5	7,782
		1999	6	9318
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	No pari-mutuel wagering	No pari-mutuel wagering	N/A	
2002	\$ 861,829	\$ 861,829	N/A	
2001	\$ 935,807	\$ 935,807	N/A	
2000	\$ 961,041	\$ 961,041	N/A	
1999	\$ 915,250	\$ 915,250	N/A	

Harness Tracks

Vernon Downs Mid-State Raceway, Inc. PO Box 860 Vernon, New York 13476-0860 Phone: (315) 829-2201 Website: www.vernondowns.com		Year	Race Dates	Attendance
		2003	93	108,859
		2002	84	85,362
		2001	84	71,378
		2000	93	95,407
		1999	114	152,068
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 19,289,465	\$ 4,711,200	\$ 14,578,265	
2002	\$ 20,773,338	\$ 4,682,919	\$ 16,090,419	
2001	\$ 21,767,222	\$ 4,331,615	\$ 17,435,607	
2000	\$ 18,098,808	\$ 4,650,566	\$ 13,448,242	
1999	\$ 20,569,236	\$ 7,036,061	\$ 13,533,175	

Yonkers Raceway Yonkers Racing Corporation Yonkers, New York 10704 Phone: (914) 968-4200 Website: www.yonkersraceway.com		Year	Race Dates	Attendance
		2003	235	142,929
		2002	257	157,385
		2001	252	167,277
		2000	256	187,418
		1999	273	217,001
Year	Total Facility Handle	Live Handle	Simulcast Import Handle	
2003	\$ 112,131,597	\$ 26,056,411	\$ 86,075,186	
2002	\$ 124,259,323	\$ 30,741,146	\$ 93,518,177	
2001	\$ 127,316,541	\$ 31,915,483	\$ 95,401,058	
2000	\$ 133,650,350	\$ 39,261,836	\$ 94,388,514	
1999	\$ 140,357,615	\$ 43,710,510	\$ 96,647,105	

Off-Track Betting Corporations

Capital District Regional Off-Track Betting Corporation 510 Smith Street Schenectady, New York 12305 Phone: (518) 370-5151 Website: www.capitalotb.com		Capital OTB is comprised of 21 counties and the City of Schenectady of which 17 participate in off-track betting. In 2003, Capital OTB operated 44 simulcast branches, 30 EZ Bet locations and one teletheater. The major cities located within the region are Albany, Schenectady, Troy and Utica.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 220,865,269	\$ 98,819,072	\$ 122,046,197
2002	\$ 225,381,005	\$ 110,142,553	\$ 115,238,452
2001	\$ 229,435,034	\$ 111,174,587	\$ 118,260,447
2000	\$ 222,472,891	\$ 117,124,373	\$ 105,348,518
1999	\$ 218,214,375	\$ 124,189,767	\$ 94,024,608

Catskill Regional Off-Track Betting Corporation Park Place Pomona, New York 10970 Phone: (845) 362-0400 Website: www.catskillotb.com		Catskill OTB is comprised of 13 counties, of which 9 participate as members of this corporation. In 2003, Catskill OTB operated 23 simulcast branches, one teletheater, one non-simulcast branch and one remote location in Goshen. Some of the larger cities served by Catskill OTB are Binghamton, Elmira, Kingston, Middletown, Newburgh and Suffern.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 143,265,650	\$ 55,108,897	\$ 88,156,753
2002	\$ 144,619,357	\$ 64,610,154	\$ 80,009,203
2001	\$ 144,736,947	\$ 64,662,251	\$ 80,074,696
2000	\$ 139,033,233	\$ 67,710,800	\$ 71,322,433
1999	\$ 137,406,986	\$ 74,795,583	\$ 62,611,403

Nassau Regional Off-Track Betting Corporation 220 Fulton Avenue Hempstead, New York 11550 Phone: (516) 572-2800 Website: www.nassauotb.com		Nassau OTB is comprised of only Nassau County. In 2003, Nassau OTB operated 13 simulcast branches, one restaurant facility with wagering and one non-simulcast branch. In 2003, Nassau OTB began construction on the Race Palace, their first teletheater.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 261,867,738	\$ 115,982,374	\$ 145,885,364
2002	\$ 265,302,213	\$ 132,455,122	\$ 132,847,091
2001	\$ 258,206,071	\$ 129,265,079	\$ 128,940,992
2000	\$ 252,035,368	\$ 133,893,580	\$ 118,141,788
1999	\$ 251,104,041	\$ 144,921,921	\$ 106,182,120

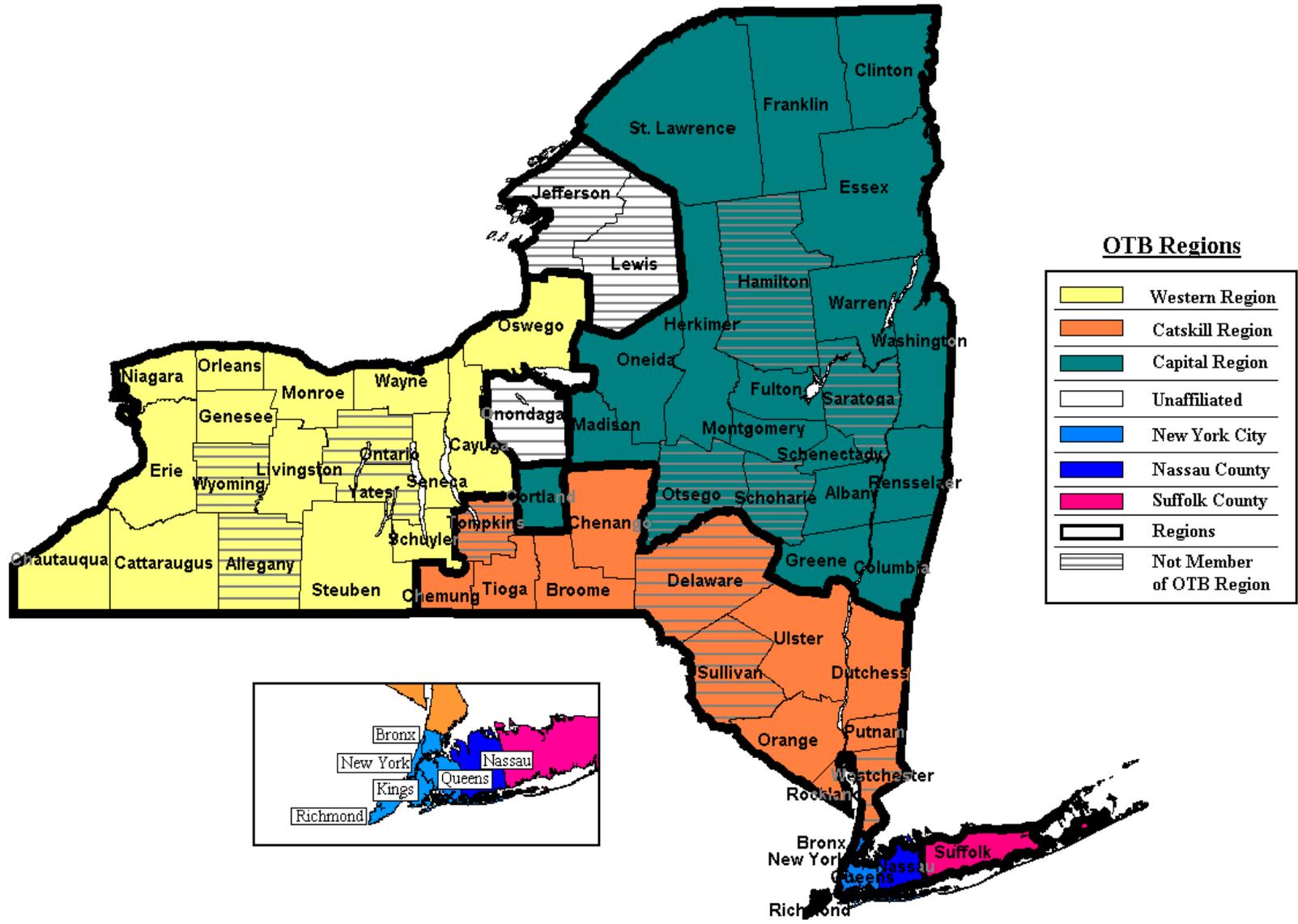
Off-Track Betting Corporations

New York City Regional Off-Track Betting Corporation 1501 Broadway New York, New York 10036 Phone: (212) 221-5200 Website: www.nycotb.com		New York City OTB is comprised of 5 counties: New York, Kings, Richmond, Bronx, and Queens. In 2003, New York City OTB operated 65 simulcast branches and 3 teletheaters. New York City OTB operates 10 “mini-branches” which are located within existing, privately owned restaurants.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 1,025,066,708	\$ 406,048,851	\$ 619,017,857
2002	\$ 1,030,285,839	\$ 469,048,851	\$ 561,236,988
2001	\$ 1,014,631,269	\$ 469,462,951	\$ 545,168,318
2000	\$ 1,013,177,935	\$ 500,231,463	\$ 512,946,472
1999	\$ 975,936,705	\$ 525,190,413	\$ 450,746,292

Suffolk Regional Off-Track Betting Corporation 5 Davids Drive Hauppauge, New York 11787 Phone: (631) 853-1000 Website: www.suffolkotb.com		Suffolk OTB is located entirely in Suffolk County. In 2003, Suffolk OTB operated 14 simulcast branches and one teletheater.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 211,476,632	\$ 93,889,921	\$ 117,586,711
2002	\$ 205,247,267	\$ 100,682,990	\$ 104,564,277
2001	\$ 186,820,326	\$ 93,487,290	\$ 93,333,036
2000	\$ 174,302,864	\$ 93,586,552	\$ 80,716,312
1999	\$ 176,267,452	\$ 101,395,519	\$ 74,871,933

Western Regional Off-Track Betting Corporation 700 Ellicott Street Batavia, New York 14020 Phone: (800) 724-2000 Website: www.westernotb.com		Western OTB is comprised of 18 counties, 15 of which participate in off-track betting. The two major cities served by Western OTB are Buffalo and Rochester. In 2003, Western OTB operated 43 simulcast branches including a teletheater.	
Year	Total Handle	Handle on New York Tracks	Handle on Out-of-State Tracks
2003	\$ 159,910,161	\$ 62,762,390	\$ 97,147,771
2002	\$ 171,887,898	\$ 73,617,813	\$ 98,270,085
2001	\$ 176,319,570	\$ 77,623,614	\$ 98,695,956
2000	\$ 174,039,463	\$ 84,805,404	\$ 89,234,059
1999	\$ 183,594,503	\$ 100,663,026	\$ 82,931,477

Off-Track Betting Corporations - Regional Map



Equine Drug Testing Program

The Equine Drug Testing Program (EDTP) for all thoroughbred and harness racing in New York State is performed by the New York State College of Veterinary Medicine at Cornell University in Ithaca, New York under contract with the New York State Racing and Wagering Board. The Equine Drug Testing Program at Cornell University has the ability to test for more drugs than any other equine drug-testing program in the world.

Equine drug testing is mandated by Chapter 47-A of the Consolidated Laws of the State of New York, The Racing, Pari-Mutuel Wagering and Breeding Law in Section 902.

Section 902. Equine drug testing and expenses. 1. In order to assure the public's confidence and continue the high degree of integrity in racing at the pari-mutuel betting tracks, equine drug testing at race meetings shall be conducted by a land grant university within this state with a regents approved veterinary college facility. The state racing and wagering board shall promulgate any rules and regulations necessary to implement the provisions of this section, including administrative penalties of loss of purse money, fines, or denial, suspension, or revocation of a license for racing drugged horses. 2. Notwithstanding any inconsistent provision of law, on and after April first, nineteen hundred eighty-six, all costs and expenses of the state racing and wagering board for equine drug testing and research shall be paid from an appropriation from the state treasury, on the certification of the chairman of the state racing and wagering board, upon the audit and warrant of the comptroller and pursuant to a plan developed by the state racing and wagering board as approved by the director of the budget.

In the year 2003, **61,705** samples of both urine and blood were collected and sent for testing at the Equine Drug Testing Program at Cornell University. The director of the EDTP is Dr. George A. Maylin who began his career as a veterinarian in 1965. Dr. Maylin has held several distinguished positions throughout his career including Director of Cornell Research and Reference Center, Director of Equine Drug Testing and Research Program and Division Chief of Toxicology Diagnostic Laboratory at New York State college of veterinary medicine, among others. Dr. Maylin is also responsible for the publication of numerous documents, studies and reports in the fields of pharmacology, toxicology, exercise physiology, chemistry and immunochemistry.

From the gathering of the original blood and urine samples that are collected in the presence of the owner, trainer or representative, throughout transport and subsequent testing, the chain of custody is rigidly maintained. This is necessary as blood and urine samples may be evidence in future litigation. All sample containers are identified and sealed against tampering upon collection. All samples are identified with unique numbers and the EDTP personnel do not know the identity of the horse involved.

Under Board procedures, when the EDTP detects and confirms the presence of a prohibited substance, the laboratory immediately informs the Board's Chief of Racing Operations and its Chief Counsel. Immediately thereafter, the Chief of Racing Operations informs the steward or presiding judge at the racetrack where the horse's sample originated, along with other appropriate Board personnel. Investigation into the matter is begun after the horse and its' trainer are identified by the steward or presiding judge. The sample identifying numbers are matched by the steward or presiding judge to his previously locked documentation of collected samples. After identification, investigation into the circumstances, including interviews with all involved parties begins.

Equine Drug Testing Program

The trainer is afforded the option of having a "split" sample of the original tested at an approved laboratory of his/her choice at his/her expense. After investigation is completed and all other information is gathered and studied, the licensee if necessary, is assessed a penalty from the State steward or presiding judge.

Should the licensee not agree with the penalty given, there is an appeals process that affords the licensee a full hearing before a board-appointed hearing officer. Upon receipt of the hearing officer's completed report, the three-member racing board renders a decision.

A listing of the most commonly used medicines in the equine racing world is contained within the Board's rules. Also contained is the number of hours "out" (before race day) that these listed drugs may be administered. The only allowable medication on race day is furosemide and this is allowed only to be given to horses properly enrolled in a furosemide medication program as prescribed.

Post Race Positives by Track		
	2003	2002
Aqueduct:	18(1F)	12(2F) ¹
Belmont Park:	4	1
Saratoga Race Course	1	0
Finger Lakes	3	11(1F)
Batavia Downs	4	2
Buffalo Raceway	3	10
Monticello Raceway	17	33(2F)
Saratoga Harness:	5	15(2Q) ³
Vernon Downs	3	4(3F)
Yonkers Raceway	18	30(5F)
TOTAL	76	118

¹ The 12 included two specials as well as the 2 furosemide.

³ Includes 2 qualifiers

Summary Drug report:	
Drug	Positive Samples
acepromazine	
(2-(1-hydroxyethyl promazine sulfoxide)	2
(2-(1-hydroxyethyl promazine)	1
albuterpol	5
bupirone	1
(5-hydroxybuspirone)	1
butorphanol	2
clenbuterol	6
codeine	7
caffeine	1
(1,7 dimethylxanthine)	1
carboxycelexib	4
ephedrine	10
ergonovine	1
erythropoietin/darbepoitin	2
furosemide	2
flunixin	5
guaifenesin	1
isoxsuprine	2
ipratropium	1
lidocaine	2
(3-hydroxylidocaine)	2
mepenzolate	1
mepivacaine	9
(3-hydroxymepivacaine)	13
morphine	7
naxolone	1
(naloxol)	1
norephedrine	10
norpseudoephedrine	8
phenylbutazone	5
(oxyphenbutazone)	1
pseudoephedrine	9
pyrilamine	1
(0-desmethyl pyrilamine)	1
theobromine	1
theophylline	1

Charitable Gaming

The statistics reported in this section are compiled from reports filed by municipal clerks. There are 1,101 municipalities required to report charitable gaming activities from which, 939 filed and 162 did not file. Included in the 162 are the five boroughs of New York City.

Local Law Option

Bingo and games of chance licenses can only be issued by the respective local municipality where a charitable organization is located. Before doing so, the municipality must adopt a local law or ordinance authorizing licensed games of chance or bingo within the geographic boundaries of the municipality.

If a local municipality wants to adopt a bingo or games of chance local law or ordinance, it must be approved by a majority of voters in a referendum. Such a referendum can be conducted during a general election, or at a special election set by the governing body of the municipality.

There is one exception to the referendum process described above. In the case of villages located within towns that already have a games of chance local law or ordinance, the village may agree to subject itself to the town's games of chance local law or ordinance through a permissive referendum. Under a permissive referendum, the village trustees adopt a resolution authorizing the town to issue games of chance licenses in the village. If no one objects to a village resolution within 30 days after passage, the resolution becomes final. However, village residents may request a referendum by presenting a petition with the number of voters' signatures comprising 20 percent of the registered voters. The fate of the resolution will then depend upon the approval or disapproval of the village electors.

Registration and Identification

With a local law in place, certain non-profit organizations are eligible to apply for a games of chance and/or bingo registration and identification number (ID Number). This number is issued by the Board and signifies that an organization has met the statutory requirements to qualify as an authorized organization. Once an ID number is issued, the number remains with that organization

as long as it conducts charitable gaming activities at least once a year. ID numbers are free of charge and allow the organizations to obtain the respective license from the municipal clerk so they may raise money from bell jars (pull tabs), raffles, Las Vegas nights or bingo.

In 2003, the Board issued 432 games of chance ID numbers and 84 bingo ID numbers. To date the Board has issued 7,954 games of chance and 8,711 bingo ID numbers, although many of these organizations may no longer actively conduct charitable gaming.

Licensing

All licenses are issued by the clerks at the local level. Depending upon the situation it could be the town clerk, city clerk or village clerk, depending upon the local law in that municipality. County clerks do not issue charitable gaming licenses.

License Fees:

Bingo: \$18.75 per occasion

Las Vegas night: \$25.00 per occasion

Bell jar tickets: \$25.00 for calendar year

Raffles: No license fee is charged for raffles that profit less than \$30,000 in a calendar year. In rare instances when an organization will profit more than \$30,000 in a calendar year then a license fee of \$25.00 for the calendar year is charged.

In 2003, the municipal clerks reported issuing the following number of licenses:

Licenses issued by municipal clerks	
Bingo	1,092
Bell Jar	1,771
Las Vegas Night	550
Raffles	Not reported
Total	3,413

All municipal clerks retain 40% of the license fee they collect and remit the remaining 60% to the State Comptroller.

Charitable Gaming

The statistics reported in this section are compiled from reports filed by municipal clerks. There are 1,101 municipalities required to report charitable gaming activities from which, 939 filed and 162 did not file. Included in the 162 are the five boroughs of New York City.

In 2003, the following license fees were reported as collected by the municipal clerks:

License Fees collected by municipal clerks	
Bingo	\$154,218
Bell Jar	\$ 44,275
Las Vegas Night	\$ 37,683
Raffles	\$ 200
Total	\$236,376

Organizations are required to remit an additional license fee to the clerk or the Board when submitting the appropriate financial reporting form.

In 2003, the following additional license fees were reported as collected by the municipal clerks and the Board:

Additional License Fees collected by municipal clerks or the Board	
Bingo (clerk)	\$ 150,136
Bell Jar (the Board)	\$ 2,422,250
Las Vegas Night (clerk)	\$ 38,973
Raffles (clerk)	\$ 1,687
Total	\$ 2,613,046

Bell Jar Compliance and Enforcement

The Racing and Wagering Board is responsible for all licensing and enforcement activities related to the manufacture, distribution and sale of bell jar tickets to licensed authorized organizations in the State. A primary function of the Board is to curtail the trafficking of illegal bell jar tickets into the state and eliminate those illegal activities that siphon revenues away from their intended charitable purposes.

All bell jar tickets must be approved by the Board before they can be sold to charities in New York. In 2003, **10,456** bell jar tickets were approved for sale into New York State bringing the total number of approved tickets to 37,171 to date.

The Board conducts statewide investigations jointly with the New York State Police, New York State Liquor Authority, and the Attorney General's Office, as well as federal, state and local law enforcement agencies. In 2003, Board investigations led to the arrest and criminal convictions of 4 individuals

Bell Jar Activity

Calendar Year 2003 (see charts on page 27)

Number of Licensed Organizations	1,771
License Fees Remitted to Clerks	\$ 44,275
Number of Bell Jar Deals Sold	179,099
Gross Ticket Sales	\$ 291,712,720
Prizes Awarded	\$ 212,405,012
5% Additional Fee	\$ 2,422,250
Net Profit to Organizations	\$ 48,708,904

Compliance

Compliance with state law and rules and regulations is a major focus of the Board's regulatory function. The Board conducts routine and random inspections of charitable gaming facilities. When rule violations are found, a compliance conference is held as a means to resolve violations and other problems that may hinder the organizations efforts to properly conduct gaming. The compliance conference is a meeting held between members of the Board's staff and representatives of the licensed charitable organization. This is an informal meeting during which both parties seek to reach a mutually agreed upon arrangement so the organization can continue its' charitable gaming activities while ensuring that the operations are in compliance.

In 2003, Board staff conducted **27** compliance conferences with representatives from various licensed charitable organizations. As a result, **\$245,320** was identified as misreported funds and directed to be deposited into the respective charitable gaming checking accounts.

Charitable Gaming

The statistics reported in this section are compiled from reports filed by municipal clerks. There are 1,101 municipalities required to report charitable gaming activities from which, 939 filed and 162 did not file. Included in the 162 are the five boroughs of New York City.

Raffles

All raffles conducted in New York State must be licensed by a municipal clerk. There is no license fee for raffles that result in net profits less than \$30,000 in a calendar year. There is a \$25.00 license fee for raffles that net a profit greater than \$30,000 in a calendar year.

In 2003, a total of **\$3,799,203** was wagered on raffles resulting in **net profits of \$1,182,862** for charitable organizations. (see chart on page 27)

Casino-Type Games of Chance

Casino-type games of chance, otherwise called Las Vegas nights, include games like roulette, craps blackjack, money wheels, bang, and other games played at carnivals and festivals.

In 2003, a total of **\$1,278,079** was wagered at 550 licensed Las Vegas Night occasions. This activity raised **\$876,153** for charitable purposes. (see chart on page 27)

Bingo

In 2003, 9.2 million bingo players attended 51,392 licensed bingo occasions, wagered **\$115,483,559** and generated **net profits of \$25,645,055** for charity. (see chart on page 27)

Games of Chance and Bingo Manufacturers and Suppliers

The Board has many responsibilities with regards to licensing games of chance and bingo manufacturers and suppliers. In 2003, the Board issued 75 licenses for games of chance manufacturers and suppliers and 50 licenses to bingo manufacturers and suppliers.

Total sales by games of chance manufacturers and suppliers = \$12,405,622

Total sales by bingo manufacturers and suppliers = \$11,016,023

Total fees collected by the Board from licensed bingo and games of chance manufacturers and suppliers = \$302,412

Public Outreach

Public Outreach is an integral part of the Board's operations as it works to promote compliance with the Rules and Regulations. In 2003, the Board continued its efforts to educate charitable groups on how to manage their gaming by attending numerous statewide conferences held by groups including the American Legion, VFW, Fraternal Order of Eagles and the Loyal Order of Moose and hosting several countywide seminars.

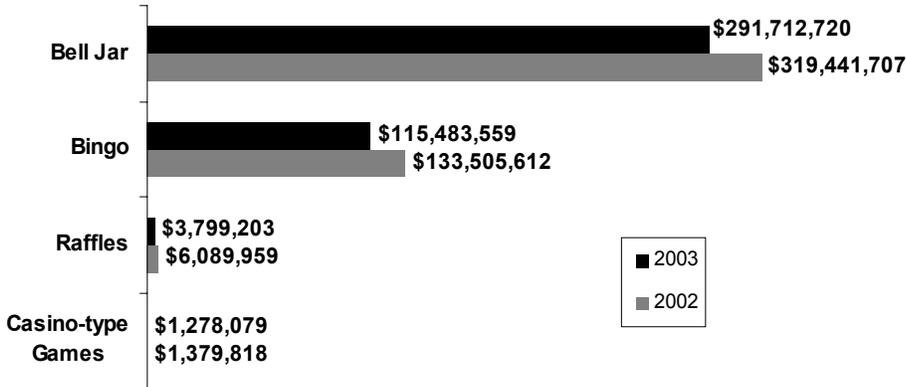
The Board also held educational seminars for municipal clerks at area town clerk association meetings, the New York State Town Clerk's Association Conference (NYSTCA), the New York Conference of Mayors (NYCOM) and several Local Government Conferences.

The Board maintains a website at www.racing.state.ny.us which contains a significant amount of information on charitable gaming. The website provides license applications, financial reporting forms, frequently asked questions, state law, rules and regulations, databases of licensed manufacturers and suppliers and a database of approved bell jar tickets

Charitable Gaming

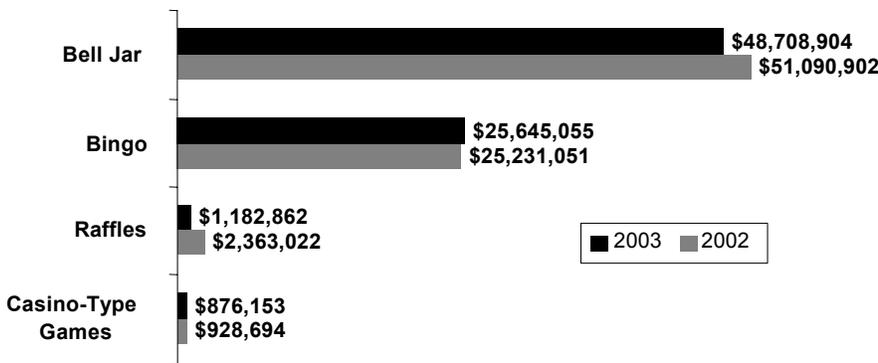
The statistics reported in this section are compiled from reports filed by municipal clerks. There are 1,101 municipalities required to report charitable gaming activities from which, 939 filed and 162 did not file. Included in the 162 are the five boroughs of New York City.

Charitable Gaming Handle



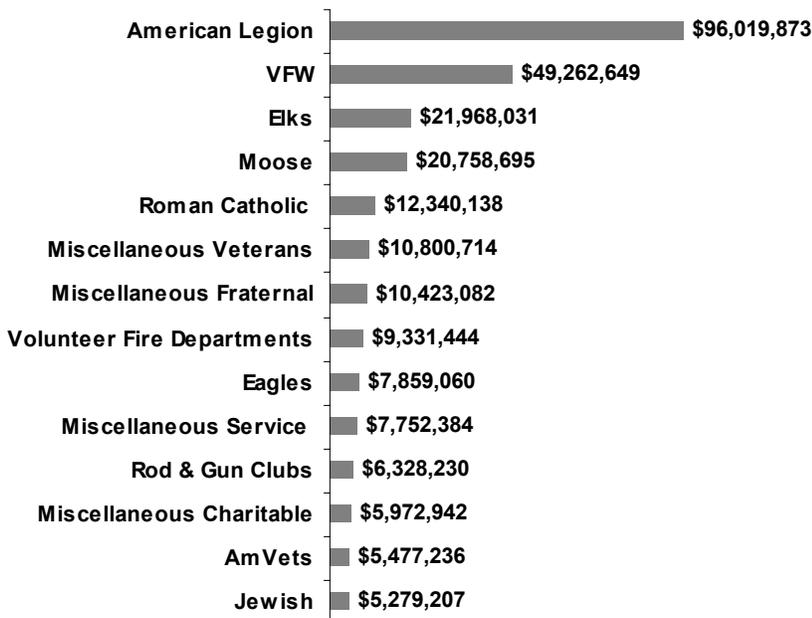
Total charitable gaming handle in 2003 was **\$412,046,180** which represents a 10.5% decline (\$48,370,916) from the 2002 total of 460,417,096.

Profit to Charities



Charities profited **\$76,412,974** in 2003 from charitable gaming which was a slight decline from the 2002 total of \$79,613,669

Bell Jar Handle by Group Type



Bell jar continues to be the most popular charitable fundraising game of chance. The graph to the left represents the top fourteen organizations and the respective handle generated from the sale of bell jar tickets..

Charitable Gaming

Bell Jar Handle and Number of Bell Jar Deals Sold by County				
	2003		2002	
County	Handle	Number of Deals	Handle	Number of Deals
Chautauqua	\$31,805,326	22,391	\$36,228,757	25,572
Monroe	\$22,878,819	20,017	\$24,372,527	20,231
Erie	\$19,049,491	15,200	\$20,431,084	12,105
Oneida	\$18,348,340	7,700	\$19,948,408	8,442
Cattaraugus	\$14,065,573	10,763	\$15,922,924	12,451
Jefferson	\$11,476,652	5,217	\$13,612,146	6,052
St. Lawrence	\$11,374,999	5,173	\$11,206,609	5,144
Steuben	\$11,189,033	6,398	\$12,274,745	6,992
Albany	\$9,012,418	4,117	\$9,566,397	4,422
Onondaga	\$8,377,684	11,688	\$9,542,627	6,679
Wayne	\$8,027,376	3,734	\$8,168,913	4,310
Allegany	\$6,762,245	3,254	\$7,386,801	3,573
Saratoga	\$6,323,597	2,652	\$6,332,208	2,852
Niagara	\$6,119,748	3,431	\$7,203,917	4,067
Rensselaer	\$6,034,598	3,089	\$6,260,278	2,948
Suffolk	\$5,845,024	3,145	\$6,430,251	3,577
Ontario	\$5,564,281	3,488	\$4,844,225	3,425
Orleans	\$4,970,219	2,546	\$4,749,276	2,418
Herkimer	\$4,706,924	1,635	\$4,993,624	1,770
Oswego	\$4,590,889	2,806	\$5,235,942	2,951
Broome	\$4,271,366	2,483	\$5,355,316	2,932
Yates	\$4,236,367	2,127	\$4,374,028	2,510
Clinton	\$3,813,943	1,755	\$4,811,388	2,236
Fulton	\$3,453,143	1,206	\$4,124,405	1,636
Tompkins	\$3,276,392	1,267	\$3,711,696	1,421
Lewis	\$3,213,363	1,747	\$3,868,705	2,155
Seneca	\$3,207,346	1,660	\$3,956,708	1,892
Schenectady	\$3,186,320	1,480	\$3,561,422	1,647
Dutchess	\$3,101,521	1,297	\$3,419,089	1,506
Franklin	\$3,096,352	1,769	\$3,829,462	2,096
Chemung	\$2,793,572	1,916	\$3,432,447	2,352
Warren	\$2,628,354	1,109	\$2,630,791	1,160
Cayuga	\$2,584,685	2,738	\$2,748,316	2,778
Genesee	\$2,412,420	1,318	\$3,081,846	1,731
Washington	\$2,407,107	1,169	\$2,635,815	1,279

Charitable Gaming

Bell Jar Handle and Number of Bell Jar Deals Sold by County				
County	2003		2002	
	Handle	Number of Deals	Handle	Number of Deals
Orange	\$1,989,539	1,002	\$2,178,977	1,115
Wyoming	\$1,949,374	1,176	\$2,104,412	1,372
Montgomery	\$1,852,078	1,257	\$1,894,888	1,357
Cortland	\$1,830,308	991	\$1,737,196	1,001
Westchester	\$1,681,150	715	\$1,642,358	737
Livingston	\$1,642,931	1,690	\$1,462,176	786
Schuyler	\$1,641,045	621	\$1,607,628	687
Ulster	\$1,576,851	890	\$1,463,420	883
Tioga	\$1,573,753	932	\$1,578,874	983
Delaware	\$1,513,761	875	\$1,383,669	868
Essex	\$1,434,673	840	\$1,471,476	899
Chenango	\$1,358,723	830	\$1,551,732	1,453
Nassau	\$1,294,573	784	\$1,452,164	899
Otsego	\$1,226,988	507	\$1,252,231	543
Rockland	\$1,109,238	477	\$1,221,033	462
Madison	\$1,004,416	631	\$1,234,751	786
Putnam	\$691,105	234	\$659,009	225
Queens	\$610,175	277	\$446,539	247
Sullivan	\$544,379	291	\$744,504	521
Columbia	\$517,714	246	\$570,607	279
Greene	\$476,072	181	\$559,889	213
Richmond	\$330,887	179	\$317,815	157
Schoharie	\$308,069	182	\$232,076	171
Kings	\$176,434	136	\$305,884	210
New York	\$56,107	34	N/A	N/A
Bronx	\$29,720	27	\$76,576	56

** A deal is defined as a container that holds all the bell jar tickets of a game bearing the same serial number.

** 61 counties reported. Bell jar tickets are not sold in Hamilton County.

Licensing

All participants in New York State racing are required to obtain an occupational license. Good character and integrity of all participants are of basic importance to the well being of the sport. Every application is reviewed, updated and carefully processed by the Licensing unit before a license is issued. Where it is believed that an applicant's background and associates may warrant a finding that their participation in racing would be inconsistent with the public interest or the best interest of racing generally, a thorough investigation is conducted before unfavorable action is taken in the form of a denial, suspension or revocation of their license.

The chart below reflects the total number of licenses valid to participate in racing in 2003 and 2002, including multi-year licenses which were active during the year. Individuals that hold licenses for more than one occupation (i.e. owner/trainer or trainer/driver) will be counted twice, once in each category. In addition, 401 National Racing Compact licenses were issued in 2003, bringing the total number issued to date to 507.

Occupational Licenses Valid to Participate						
Category	2003			2002 **		
	Harness	Thoroughbred	Total	Harness	Thoroughbred	Total
Administration	1	0	1	2	0	2
Authorized Agent	0	86	86	0	80	80
Apprentice Jockey	0	41	41	0	33	33
Amateur Jockey	0	2	2	0	3	3
Assistant Trainer	1	403	404	3	398	400
Cleaning Service	89	399	488	88	367	455
Driver	941	0	941	928	0	928
Exercise Rider	1	861	862	0	837	837
Farrier	43	57	100	28	43	71
Food Service	461	277	738	411	269	680
Groom	1,750	2,204	3,954	1,677	1,997	3,674
Gap Attendant	0	12	12	0	14	14
General Services	918	1,516	2,434	821	1,472	2,293
Jockey	0	271	271	0	293	293
Jockey Agent	0	68	68	0	71	71
Matinee Driver	6	0	6	8	0	8
Mutuel Clerk	433	528	961	409	449	858
Race Official	0	7	7	7	29	36
Original Owner	913	1,387	2,300	860	1,224	2,084
Renewal Owner	4,156	3,730	7,886	4,135	3,510	7,645
Provisional Driver	19	5	24	152	0	152
Private Trainer	104	0	104	27	2	29
Qualifier Driver	0	44	44	87	0	87
Security	213	443	656	220	447	667
Stable Employee	5	1,613	1,618	6	1,483	1,489
Trainer	2,053	729	2,782	2,086	779	2,865
Track Management	123	68	191	121	66	187
Veterinarian	35	62	97	22	58	80
Vendor – Transporter	3	9	12	2	5	7
TOTALS	12,430	14,822	27,252	12,100	13,929	26,029

** Please note that 2002 numbers have been updated since the 2002 annual report.

Racing Operations and Investigations

Each race conducted in at a New York thoroughbred racetrack is observed by at least three stewards; one employed by the Racing and Wagering Board, one employed by the racing association and one employed by the Jockey Club. At the harness tracks each race is observed by at least three judges who all serve as employees of the Racing and Wagering Board. The stewards and judges viewing stand is located near the finish line of each racetrack and is equipped with several television monitors to permit a viewing of multiple angles of each race. The stewards observe the races to ensure that all conduct is in accordance with rules and regulations.

Regulatory Activities

During calendar year 2003, the Board issued **1,047** rulings for violations of racing laws, rules and regulations, at both harness and thoroughbred tracks. The rulings include, but are not limited to driving and riding infractions, general conduct violations, human and equine drug violations, general business practice violations and several other rule and law violations. The main office of the Racing and Wagering Board issued 5 violations which are not assigned to a specific track.

Summary of Rulings by Track (including fines)	
Yonkers Raceway	234
New Nork Racing Association	200
Monticello Raceway	188
Saratoga Harness	109
Buffalo Raceway	82
Vernon Downs	82
Batavia Downs	76
Finger Lakes Racetrack	71
Issued by Racing and Wagering Board Main Office	5
Total	1,047

Equine Deaths

A total of 120 equine deaths were reported in 2003 in comparison to 138 in 2002.

Rules and Legislation

The Board adopted the following rules:

Unqualified Horses - An emergency amendment to Rule 4113.5 provided that a satisfactory race performance on or after September 1st of the preceding year shall be deemed a satisfactory performance for a horse making its first start of the year before June 1st at Buffalo Raceway and Batavia Downs.

Games of Chance and Bingo - Amendments to Parts 5600 and 5800 were promulgated to provide flexibility for the Board in prescribing forms for games of chance and bingo licensing and filings.

Compulsive Gambling - Emergency adoption of new Parts 4044, 4123, 4237, and 5202 implemented Section 108 of the Racing Law, as amended by Chapter 434 of the Laws of 2002. These parts provide for the voluntary exclusion of individuals from certain pari-mutuel wagering venues and for voluntary limits on telephone wagering accounts.

Updated Thoroughbred Rules - Amendments to Parts 4000-4004, 4008-4010, 4020, 4021, 4023, 4027-31, 4033, 4037, 4038, 4040, and 4041 updated references to statutes, agencies, addresses and regulations.

Thoroughbred Trifecta Wagering - Two emergency amendments to Rule 4011.22(i) authorized the conduct of trifecta wagering in circumstances where there are five betting entries. The first authorized such wagering in Graded Stakes Races. The second authorized such wagering in stakes races, handicapping races and allowance races.

Equine Drug Testing - Emergency amendments to the thoroughbred rules (4038.18, 4043.6, 4043.7) and harness rules (4109.7, 4113.3, 4120.10, 4120.11) provided for testing of horses for reserpine, fluphenazine and the antibodies of erythropoietin and darbepoietin as well as the consequences of positive tests.

2003 Legislation:

Chapter 144 of the Laws of 2003 repealed Chapter 171 of the Laws of 2002. Chapter 171 had deemed the Fred and Gertrude England Hospitality Education Foundation to be an “authorized organization” for games of chance purposes pursuant to General Municipal Law Section 186(4).

Chapter 164 of the Laws of 2003 amended Sections 213, 309 and 409 of the Racing, Pari-Mutuel Wagering and Breeding Law, Section 435 of the Executive Law, and Section 189-a of the General Municipal Law to authorize state and national criminal history checks for racing occupational license applicants, and bingo and games of chance suppliers.

Chapter 455 of the Laws of 2003 amended Section 247 of the Racing, Pari-Mutuel Wagering and Breeding Law to authorize the New York State Thoroughbred Breeding and Development Fund to direct a portion of revenues to New York-bred horses that compete in races not limited exclusively to New York-bred horses. This authorization was applicable only to races run at licensed New York State thoroughbred tracks

Chapter 461 of the Laws of 2003 amended Section 195 of the General Municipal Law to remove the prohibition against the conduct of games of chance on New Year’s Eve.

Chapter 498 of the Laws of 2003 amended the Penal Law and the Labor Law to permit the transportation of slot machines into and within New York for purposes of training staff to repair and recondition machines.

Indian Gaming

Class III, or casino-style gaming on Native American lands, came to New York State as a result of the passage by the United States Congress of the Indian Gaming Regulatory Act (IGRA) of 1988, 25 U.S.C. §2701 *et seq*

Indian Gaming Regulatory Act

The IGRA contains a regulatory scheme designed to provide different levels of jurisdiction depending upon the type of gambling that is to occur on Indian lands. The IGRA divides gambling into three types and establishes a regulatory scheme for each. Class I gaming is described as “social games played solely for prizes of minimal value or traditional forms of Indian gaming engaged in as part of, or in connection with, tribal ceremonies or celebrations.” Class I gaming is under the exclusive jurisdiction of Indian tribes, and is not subject to the provisions of the IGRA. Class II gaming is defined as “the game of chance commonly known as bingo including (if played at the same location) pull-tabs, lotto, punch boards, tip jars, instant bingo and other games similar to bingo.” Class II gaming is under tribal jurisdiction, subject to the provisions of the IGRA and oversight by the National Indian Gaming Commission. States are not permitted to regulate any Class II gaming activity. Under the IGRA, Class III gaming may only be conducted pursuant to the terms of a compact between a tribe and the state in which its lands are located. Class III gaming is defined as all other types of gambling, including banked card games (e.g., baccarat and blackjack), slot machines, pari-mutuel wagering and jai alai.

New York Compacts

Class III compacts exist between the State and the Oneida Indian Nation of New York, Saint Regis Mohawk Tribe and Seneca Nation of Indians. On April 16, 1993, Governor Mario M. Cuomo signed the Oneida Indian Nation of New York’s Compact on behalf of the State of New York. The Compact was subsequently approved by the Department of the Interior, on June 4, 1993.

The Oneida opened their Turning Stone Casino Resort on June 20, 1993. Governor Cuomo signed the Saint Regis Mohawk Tribe’s Compact on June 9, 1993. The Compact was subsequently approved by Interior on December 4, 1993. The Mohawk’s opened their Akwesasne Mohawk Casino on April 10, 1999. Finally, Governor George E. Pataki signed the Seneca Nation of Indians’ Compact on August 18, 2002. Approval was received from Interior on October 25, 2002. The Seneca opened their Seneca Niagara Casino on December 31, 2002.

Contained in each compact are standards and specifications for each game authorized for conduct at an Indian casino, the rules and internal controls governing the operation of the gaming facility, procedures for certification and/or registration of gaming employees and companies transacting business with the casino, and a system for mediating disputes.

Gaming Inspectors

The Board maintains a constant, twenty-four hour presence within each gaming facility. Board gaming inspectors are experienced, professional investigators, specially trained to monitor casino gaming activities with the respective Nation or Tribal gaming inspectors, security officers and surveillance departments. Board gaming inspectors conduct compliance examinations on a regular basis to ensure that gaming operations such as dealing procedures, internal accounting controls and other safeguards strictly conform to the applicable provisions of their respective compacts.

Casino patrons regularly seek State gaming inspectors to clarify the rules of the games and for recourse after filing complaints with casino managers and Nation or Tribal gaming regulators. Board gaming inspectors conduct investigations, interviews, review surveillance videotapes, and prepare detailed narrative reports as part of their normal duties.

Indian Gaming

Licensing

The Board's Licensing Department is responsible for the review and subsequent approval or denial of the applications submitted by all persons involved with Class III gaming in the State. Under the Oneida and Mohawk compacts, no person may commence or continue employment as a gaming employee unless he or she is the holder of a valid gaming employee certification and license issued by the Board and the Nation or Tribal gaming commission, respectively, or, in the case of the Seneca compact, the employee has been issued a finding of suitability by the Board and a license issued by the Seneca Gaming Authority. The term "gaming employee" is broad, meaning any person employed in the operation or management of Class III gaming, whether employed by the Nation or Tribe or by any enterprise providing on-site services to the Nation or Tribe within a Class III gaming facility and any other person whose employment duties require or authorize access to restricted areas of the gaming facilities not otherwise opened to the public. The level of scrutiny to which employee applicants are subject depends upon the nature of their responsibilities at the casino, their degree of access, and their ability to influence gambling activities on the gaming floor.

At a minimum, each applicant for a gaming employee certification or suitability must submit a completed license application, State and federal fingerprint cards and, when warranted, Royal Canadian Mounted Police fingerprint cards. Provided that the application is complete and all required documentation has been submitted, the Board forwards a copy of the application to the New York State Police (State Police), which conducts a background investigation of the applicant. The Board also forwards the fingerprint cards to the Division of Criminal Justice Services (Division), the Federal Bureau of Investigation and, when warranted, the Royal Canadian Mounted Police for a fingerprint-based search of their files.

The State Police report the results of its investigation to the Board. The Board reviews the fingerprint returns and each applicant background investigation report and evaluates them using compact-enumerated criteria to determine eligibility for certification or suitability, as appropriate.

Pursuant to each compact, enterprises or individuals wishing to conduct gaming-related business with a Class III gaming facility must hold a Board-issued gaming service registration or be found suitable by the Board prior to providing gaming services, gaming supplies or gaming equipment to the casinos. As with "gaming employee," the term "enterprise" and the scope of business conducted that requires registration is quite broad. "Enterprise" means any individual, trust, corporation, partnership, or other legal entity of any kind; provided, however, that with respect to a corporation, the term "enterprise" shall include each other corporation or other legal entity that directly or indirectly controls a majority of the voting interests in such corporation.

Furthermore, with respect to any partnership, trust, or other form of unincorporated business organization, the term "enterprise" also includes each corporation or other legal entity that controls a majority of the voting interests in such organization. "Gaming services" means those services provided to the Nation or Tribal gaming operation in connection with the operation of Class III gaming, including maintenance or security services for the Class III gaming facility, gaming schools or training activities, promotional services, printing or manufacture of betting tickets, and manufacture, distribution, maintenance, testing or repair of gaming equipment. "Gaming supplies" means those goods or supplies, which are specially designed for use in the operation of a Class III game or activity. "Gaming equipment" means any machine, device or equipment that is specially designed or manufactured for use in the operation of a Class III game or activity.

Indian Gaming

Regulatory Costs

Finally, Federal law permits, and each compact dictates, that all of the State's regulatory expenses for both personnel and equipment be either paid for directly, or be reimbursed, by the regulated Indian Nation or Tribe.

Activity

The following chart illustrates the Licensing Departments activity related to applications received from the three Indian Casinos operating in New York State during 2003.

	Oneida Nation		Mohawk Tribe		Seneca Nation	
	2003	Lifetime	2003	Lifetime	2003	Lifetime
Applications Received	1,039	9,796	150	1,619	1,332	3,491
Temporary Certifications Issued	993	9,102	132	1,281	1,581	3,110
Annual Certifications Issued	304	4,276	70	550	34	34
Temporary Certifications Denied	24	226	5	94	144	169
Annual Certifications Denied	0	5	0	10	1	1

Handle on New York Racing – On-Track, Off-Track and Out-of-State

	Track Holding Race								TOTAL
	NYRA	Finger Lakes	Batavia	Buffalo	Monticello	Saratoga Harness	Vernon	Yonkers	
On-Track Live	337,434,520	13,288,077	3,420,176	2,792,284	7,224,785	6,145,003	4,711,200	26,056,411	401,072,456
Simulcast Exports									
Exported to NYS Tracks:									
NYRA	19,007,094	4,175,751	-	-	-	-	-	-	23,182,845
Finger Lakes	6,171,386	-	3,343	-	257,969	85,732	504	-	6,518,934
Batavia	894,944	345,967	-	272,104	289,675	140,852	167,636	207,172	2,318,350
Buffalo	1,870,033	271,165	402,614	-	101,141	119,302	132,099	153,804	3,050,158
Monticello	2,451,813	203,973	19,190	14,381	-	163,260	83,243	582,035	3,517,895
Saratoga Harness	8,732,287	417,778	19,278	32,809	105,765	-	176,028	454,802	9,938,747
Syracuse Mile	-	-	-	-	-	-	-	-	-
Vernon	2,332,877	407,731	129,325	100,346	285,054	353,985	-	490,291	4,099,609
Yonkers	33,642,075	1,369,739	156,735	86,155	821,824	563,345	255,873	-	36,895,746
Total to NYS Tracks	75,102,509	7,192,104	730,485	505,795	1,861,428	1,426,476	815,383	1,888,104	89,522,284
Exported to NYS OTB's									-
Capital	76,465,168	9,253,311	185,379	245,600	3,228,441	4,047,159	1,271,671	4,122,343	98,819,072
Catskill	37,327,019	4,853,288	182,741	174,826	5,103,023	1,523,507	212,704	5,731,789	55,108,897
Nassau	96,029,203	5,862,725	89,861	185,636	4,227,678	1,652,217	419,145	7,515,909	115,982,374
New York City	322,278,200	25,412,165	416,242	299,017	15,408,508	5,817,701	406,515	36,010,503	406,048,851
Suffolk	77,012,779	4,951,546	78,269	167,262	3,240,101	1,324,590	287,596	6,827,778	93,889,921
Western	34,623,660	13,872,277	2,936,915	2,371,543	3,732,503	1,755,384	977,048	2,493,060	62,762,390
Total to NYS OTB's	643,736,029	64,205,312	3,889,407	3,443,884	34,940,254	16,120,558	3,574,679	62,701,382	832,611,505
Exported Out of State	1,686,187,393	57,376,300	473,949	153,747	45,594,778	5,673,977	300,066	51,160,390	1,846,920,600
Total Handle on NY Racing	2,742,460,451	142,061,793	8,514,017	6,895,710	89,621,245	29,366,014	9,401,328	141,806,287	3,170,126,845

Wagering at New York Tracks – Live Racing vs. In-State Simulcasting

	2003			2002			Increase (Decrease) 2003-2002
	Simulcast Imports	Live Racing	On-Track Betting	Simulcast Imports	Live Racing	On-Track Betting	
Receiving Track							
Thoroughbred							
Aqueduct	\$ 92,871,990	\$ 101,956,483	\$ 194,828,473	\$ 105,392,105	\$ 124,884,338	\$ 230,276,443	(15.4) %
Belmont	46,399,021	118,069,796	164,468,817	38,566,692	125,279,727	163,846,419	0.4 %
Saratoga	17,482,141	117,408,241	134,890,382	18,724,173	115,400,499	134,124,672	0.6 %
NYRA	156,753,152	337,434,520	494,187,672	162,682,970	365,564,564	528,247,534	(6.4) %
Finger Lakes	15,862,723	13,288,077	29,150,800	17,753,500	15,614,825	33,368,325	(12.6) %
Total Thoroughbred	172,615,875	350,722,597	523,338,472	180,436,470	381,179,389	561,615,859	(6.8) %
Harness							
Batavia	6,871,281	3,420,176	10,291,457	7,165,499	3,531,175	10,696,674	(3.8) %
Buffalo	10,127,889	2,792,284	12,920,173	12,552,307	3,881,305	16,433,612	(21.4) %
Monticello	8,827,390	7,224,785	16,052,175	10,222,375	9,352,988	19,575,363	(18.0) %
Saratoga	25,499,862	6,145,003	31,644,865	24,300,907	6,186,778	30,487,685	3.8 %
Syracuse Mile	-	-	-	-	861,829	861,829	(100.0) %
Vernon	14,578,265	4,711,200	19,289,465	16,090,419	4,682,919	20,773,338	(7.1) %
Yonkers	86,075,186	26,056,411	112,131,597	93,518,177	30,741,146	124,259,323	(9.8) %
Total Harness	151,979,873	50,349,859	202,329,732	163,849,684	59,238,140	223,087,824	(9.3) %
All Tracks	\$ 324,595,748	\$ 401,072,456	\$ 725,668,204	\$ 344,286,154	\$ 440,417,529	\$ 784,703,683	(7.5) %

NOTE: Increase (Decrease) represents the percentage change in On-Track Betting from 2002 to 2003.

Wagering at New York Tracks - New York Racing vs. Out-of-State Racing

	2003					
	Betting at NYS Thoroughbred Tracks	Percent of Total Thoroughbred	Betting at NYS Harness Tracks	Percent of Total Harness	Total	Percent of Total
Summary of On-Track Betting:						
Live Racing:						
NYRA	\$ 337,434,520	64.5%	\$ -	0.0%	\$337,434,520	46.5%
Finger Lakes	13,288,077	2.5%	-	0.0%	13,288,077	1.8%
NYS Harness Tracks	-	0.0%	50,349,859	24.9%	50,349,859	6.9%
All Live Racing at NYS Tracks	350,722,597	67.0%	50,349,859	24.9%	401,072,456	55.3%
In-State Simulcasting Between NY Tracks:						
NYRA	25,178,480	4.8%	49,924,029	24.7%	75,102,509	10.3%
Finger Lakes	4,175,751	0.8%	3,016,353	1.5%	7,192,104	1.0%
NYS Harness Tracks	347,548	0.1%	6,880,123	3.4%	7,227,671	1.0%
All Simulcasts from NYS Tracks	29,701,779	5.7%	59,820,505	29.6%	89,522,284	12.3%
Total Wagering On NY Racing at NY Tracks	380,424,376	72.7%	110,170,364	54.5%	490,594,740	67.6%
Wagering On Out-of-State Racing at NY Tracks:						
Thoroughbred Races	142,914,096	27.3%	53,110,319	26.2%	196,024,415	27.0%
Harness Races	-	0.0%	39,049,049	19.3%	39,049,049	5.4%
All Simulcasts from Out-of-State Tracks	142,914,096	27.3%	92,159,368	45.5%	235,073,464	32.4%
Total Betting at NY Tracks from All Sources	\$ 523,338,472	100.0%	\$ 202,329,732	100.0%	\$725,668,204	100.0%

NOTE: Simulcast Imports represents all simulcasts. This includes in-state and out-of-state simulcasts.
 Simulcast Imports + Live Racing = On-Track Betting

Wagering at New York Tracks - Distribution of Revenues

	NYRA	Finger Lakes	Batavia	Buffalo	Monticello	Saratoga	Vernon	Yonkers	All NYS Tracks
Regular	\$186,752,532	\$ 7,333,620	\$ 2,814,183	\$ 3,534,228	\$ 3,911,828	\$11,331,301	\$ 5,189,926	\$ 33,632,808	\$254,500,426
Multiple	179,007,186	11,093,559	3,543,948	3,834,845	5,730,538	11,057,787	6,687,579	53,885,815	274,841,257
Exotic	120,722,277	10,723,621	3,933,326	5,551,100	6,045,435	8,298,862	7,411,960	23,231,323	185,917,904
Super Exotic	7,705,677	-	-	-	364,374	956,915	-	1,381,651	10,408,617
Total Handle	\$494,187,672	\$29,150,800	\$10,291,457	\$12,920,173	\$16,052,175	\$31,644,865	\$19,289,465	\$112,131,597	\$725,668,204
Takeout	\$ 90,829,907	\$ 6,067,282	\$ 2,321,549	\$ 2,706,620	\$ 3,387,917	\$ 6,196,765	\$ 3,883,566	\$ 22,485,663	\$137,879,269
Breakage	2,320,557	116,441	54,807	56,971	65,404	164,608	83,230	496,538	3,358,556
Total Takeout and Breakage	\$ 93,150,464	\$ 6,183,723	\$ 2,376,356	\$ 2,763,591	\$ 3,453,321	\$ 6,361,373	\$ 3,966,796	\$ 22,982,201	\$141,237,825
State Tax on Handle	\$ 7,200,531	\$ 352,035	\$ 106,328	\$ 168,061	\$ 154,906	\$ 338,922	\$ 211,310	\$ 1,351,991	\$ 9,884,084
State Regulatory Fee	1,393,317	82,744	30,586	25,845	46,949	76,967	54,346	268,261	1,979,015
State Tax on Breakage	667,972	51,342	18,217	23,990	27,242	65,380	34,477	187,572	1,076,192
Total Tax and Regulatory Fee	\$ 9,261,820	\$ 486,121	\$ 155,131	\$ 217,896	\$ 229,097	\$ 481,269	\$ 300,133	\$ 1,807,824	\$ 12,939,291
Racetrack Commission	\$ 79,089,879	\$ 5,486,749	\$ 2,099,224	\$ 2,410,898	\$ 3,051,064	\$ 5,591,847	\$ 3,447,456	\$ 20,061,434	\$121,238,551
Racetrack Breakage	1,652,585	65,099	36,590	32,982	38,162	99,228	48,753	308,965	2,282,364
Total to Racetrack	\$ 80,742,464	\$ 5,551,848	\$ 2,135,814	\$ 2,443,880	\$ 3,089,226	\$ 5,691,075	\$ 3,496,209	\$ 20,370,399	\$123,520,915
Horse Breeders Fund Share	\$ 3,146,180	\$ 145,754	\$ 85,411	\$ 101,815	\$ 134,998	\$ 189,029	\$ 170,454	\$ 803,978	\$ 4,777,619
Racetrack Payouts									
Paid to Simulcast Senders	\$ 3,765,577	\$ 583,915	\$ 207,165	\$ 370,909	\$ 397,690	\$ 1,347,388	\$ 493,667	\$ 4,071,725	\$ 11,238,036
Paid to NYRA and Finger Lakes	\$ 730,360	\$ 108,515	\$ 39,958	\$ 64,950	\$ 51,561	\$ 209,977	\$ 68,591	\$ 621,585	\$ 1,895,497
Gross Purses Paid	\$115,214,292	\$10,426,260	\$ 1,848,580	\$ 1,661,715	\$ 4,769,634	\$ 3,975,649	2,645,311	\$ 20,256,148	\$160,797,589
Minus Pool	\$ 1,457,449	\$ 6,769	\$ 841	\$ 475	\$ 9,000	\$ 10,151	\$ 25,465	\$ 16,418	\$ 1,526,568
Uncashed Tickets	\$ 1,360,363	\$ 113,010	\$ 22,741	\$ 61,706	\$ 67,668	\$ 106,883	\$ 67,107	\$ 325,745	\$ 2,125,223
State Admission Taxes	\$ 296,769	\$ 5,281	\$ -	\$ -	\$ 528	\$ 252	\$ 225	\$ 5,164	\$ 308,219

NOTE: Handle includes on-track live racing and simulcast imports of all racing. NYRA Track amounts are allocated by total handle of each track with the exception of Purses and Admission Taxes
Amounts were not reported by track.

Off-Track Betting Corporations – Handle by Track and Region

	Capital	Catskill	Nassau	New York City	Suffolk	Western	All Regions
New York State Thoroughbred:							
NYRA:							
Aqueduct	\$ 28,010,535	\$ 16,108,731	\$ 43,574,241	\$ 140,981,494	\$ 33,766,896	\$ 15,613,217	\$ 278,055,114
Belmont	27,705,289	14,717,143	36,964,514	129,638,075	30,185,426	13,185,236	252,395,683
Saratoga	20,749,344	6,501,145	15,490,448	51,658,631	13,060,457	5,825,207	113,285,232
Total NYRA	76,465,168	37,327,019	96,029,203	322,278,200	77,012,779	34,623,660	643,736,029
Finger Lakes	9,253,311	4,853,288	5,862,725	25,412,165	4,951,546	13,872,277	64,205,312
Handle on NYS Thoroughbred Tracks	85,718,479	42,180,307	101,891,928	347,690,365	81,964,325	48,495,937	707,941,341
New York State Harness:							
Batavia	185,379	182,741	89,861	416,242	78,269	2,936,915	3,889,407
Buffalo	245,600	174,826	185,636	299,017	167,262	2,371,543	3,443,884
Monticello	3,228,441	5,103,023	4,227,678	15,408,508	3,240,101	3,732,503	34,940,254
Saratoga	4,047,159	1,523,507	1,652,217	5,817,701	1,324,590	1,755,384	16,120,558
Syracuse Mile		-	-	-	-		-
Vernon	1,271,671	212,704	419,145	406,515	287,596	977,048	3,574,679
Yonkers	4,122,343	5,731,789	7,515,909	36,010,503	6,827,778	2,493,060	62,701,382
Handle on NYS Harness Tracks	13,100,593	12,928,590	14,090,446	58,358,486	11,925,596	14,266,453	124,670,164
Handle on All New York State Tracks	98,819,072	55,108,897	115,982,374	406,048,851	93,889,921	62,762,390	832,611,505
Out-of-State Thoroughbred	94,692,878	63,977,291	112,395,225	505,737,331	92,171,984	70,588,750	939,563,459
Out-of-State Harness	23,603,761	21,609,120	30,632,943	103,147,974	22,756,369	24,110,155	225,860,322
Handle on Out-of-State Tracks	118,296,639	85,586,411	143,028,168	608,885,305	114,928,353	94,698,905	1,165,423,781
Special Event Races:							
Breeders Cup	1,370,001	613,450	866,171	3,677,722	843,704	666,512	8,037,560
Kentucky Derby	1,413,007	1,235,302	1,223,795	3,976,571	1,112,448	1,143,966	10,105,089
Preakness Stakes	966,550	721,590	767,230	2,478,259	702,206	638,388	6,274,223
Handle on Special Event Races	3,749,558	2,570,342	2,857,196	10,132,552	2,658,358	2,448,866	24,416,872
Total NYS OTB Handle on All Tracks	\$220,865,269	\$143,265,650	\$261,867,738	\$1,025,066,708	\$211,476,632	\$159,910,161	\$2,022,452,158

Off-Track Betting Corporations – Handle and Distribution of Revenues

	Capital	Catskill	Nassau	New York City	Suffolk	Western	All Regions
Net Handle on:							
In State Thoroughbred Tracks	\$ 85,718,479	\$ 42,180,307	\$101,891,928	\$ 347,690,365	\$ 81,964,325	\$ 48,495,937	\$ 707,941,341
Out of State Thoroughbred Tracks	98,442,436	66,547,633	115,252,421	515,869,883	94,830,342	73,037,616	963,980,331
In State Harness Tracks	13,100,593	12,928,590	14,090,446	58,358,486	11,925,596	14,266,453	124,670,164
Out of State Harness Tracks	23,603,761	21,609,120	30,632,943	103,147,974	22,756,369	24,110,155	225,860,322
Total Net Handle	220,865,269	143,265,650	261,867,738	1,025,066,708	211,476,632	159,910,161	2,022,452,158
Less:							
Returned to Bettors	167,911,929	107,614,964	199,206,307	784,080,270	162,502,963	119,142,221	1,540,458,654
Surcharge	8,165,862	5,735,168	9,479,424	32,946,588	5,874,679	6,864,356	69,066,077
OTB Take Out and Breakage	44,787,478	29,915,518	53,182,007	208,039,850	43,098,990	33,903,584	412,927,427
Less Payments to:							
NY State (Pari-Mutuel Tax & Breakage)	1,900,794	1,275,175	2,031,660	8,677,480	1,914,322	1,505,162	17,304,593
NY State Regulatory Fee	554,001	357,812	645,591	2,535,813	523,900	384,125	5,001,242
NY State (Uncashed Tickets)	840,000	520,682	656,477	2,951,043	627,231	685,000	6,280,433
Breeders' Fund - Thoroughbred	1,104,696	634,756	1,305,234	5,143,043	1,058,590	701,150	9,947,469
Breeders' Fund - Harness	540,733	441,938	704,941	2,650,578	552,111	480,975	5,371,276
In State Thoroughbred Tracks	9,588,263	6,668,893	13,806,461	56,031,754	11,354,649	7,458,354	104,908,374
Out of State Thoroughbred Tracks	2,286,602	1,539,207	2,489,491	11,378,703	2,146,113	1,682,622	21,522,738
In State Harness Tracks	4,554,243	2,318,105	3,167,865	12,990,076	2,434,853	3,246,732	28,711,874
Out of State Harness Tracks	481,529	467,528	708,534	2,264,728	574,216	506,877	5,003,412
City of Albany	344,209	-	-	-	-	-	344,209
City of Niagara	-	-	-	-	-	81,554	81,554
Total Payments	22,195,070	14,224,096	25,516,254	104,623,218	21,185,985	16,732,551	204,477,174
Net Racing Revenue	22,592,408	15,691,422	27,665,753	103,416,632	21,913,005	17,171,033	208,450,253
Other Revenue:							
Admission Income	81,789	99,495	-	472,035	173,887	2,830	830,036
Lottery Income	534,276	380,156	56,845	-	78,605	477,049	1,526,931
Concession Income	212,228	36,286	45,733	117,231	64,219	22,864	498,561
Derived from Section 532	2,021,718	1,593,784	2,041,584	9,317,943	1,448,457	1,859,813	18,283,299
Interest Income	35,293	51,204	87,612	247,334	26,137	20,243	467,823
Other Income	125,377	1,585,378	319,276	1,484,416	1,841,279	(2,727,014)	2,628,712
Uncashed Tickets	840,000	520,682	656,477	2,951,043	627,231	685,000	6,280,433
Total Operating Revenue	26,443,089	19,958,407	30,873,280	118,006,634	26,172,820	17,511,818	238,966,048
Operating Expenses:							
Branch Expenses	15,999,036	10,591,631	15,081,511	82,654,357	15,152,426	16,143,126	155,622,087
Corporate Expenses	7,267,860	2,484,674	6,586,682	35,932,606	7,957,861	5,282,896	65,512,579
Total Operating Expenses (Incl. Depreciation)	23,266,896	13,076,305	21,668,193	118,586,963	23,110,287	21,426,022	221,134,666
Section 516 Net Revenues from Operations	3,176,193	6,882,102	9,205,087	(580,329)	3,062,533	(3,914,204)	17,831,382

Continued on next page

Off-Track Betting Corporations – Handle and Distribution of Revenues

(continued)

Continued from previous page	Capital	Catskill	Nassau	New York City	Suffolk	Western	All Regions
Section 516 Net Revenues from Operations	3,176,193	6,882,102	9,205,087	(580,329)	3,062,533	(3,914,204)	17,831,382
Less:							
Section 509-a Contributions to Capital Acquisition Fund	(419,900)	622,339	-	-	(314,000)	-	(111,561)
Section 516 Net Revenue for Distribution	2,756,293	7,504,441	9,205,087	(580,329)	2,748,533	(3,914,204)	17,719,821
Add:							
Section 532 Revenues to Participating Localities	3,692,032	2,511,546	4,999,903	17,073,199	2,631,105	3,098,708	34,006,493
Total Benefits to Participating Localities	6,448,325	10,015,987	14,204,990	16,492,870	5,379,638	(815,496)	51,726,314
Add:							
Section 532 Revenues to Other Localities	1,467,319	917,762	1,274,380	2,767,799	1,093,470	999,335	8,520,065
Total Benefits to all Localities	7,915,644	10,933,749	15,479,370	19,260,669	6,473,108	183,839	60,246,379
Add:							
Capital Acquisition Funds:							
Section 509-a Contribution from Net Revenues	419,900	(622,339)	-	-	314,000	-	111,561
Supplemental 1% Section 532	984,793	712,076	1,163,556	3,787,647	701,647	906,500	8,256,219
Other Fund Revenues net of Expenses	(1,003,266)	114,324	3,310,416	-	-	(699,881)	1,721,593
Total Capital Acquisition Funds	401,427	204,061	4,473,972	3,787,647	1,015,647	206,619	10,089,373
Total Available for Distribution to Localities and for Capital Acquisitions	\$ 8,317,071	\$ 11,137,810	\$ 19,953,342	\$ 23,048,316	\$ 7,488,755	\$ 390,458	\$ 70,335,752

NOTE: Reference to “Section” above is related to sections within the New York State Racing, Pari-Mutuel Wagering and Breeding Law. Participating Localities are local governments within the Off-Track Betting Region that have elected to participate under Section 502 of the Racing, Pari-Mutuel Wagering and Breeding Law. Other Localities are local governments which have racetracks located within their borders and receive a portion of the surcharge.

Off-Track Betting Corporations – Direct and Regional Payments to Tracks

	Capital	Catskill	Nassau	New York City	Suffolk	Western	TOTAL
New York State Thoroughbred:							
NYRA:							
Aqueduct	\$ 1,573,810	\$ 1,507,261	\$ 3,329,428	\$ 13,204,470	\$ 2,669,776	\$ 837,293	\$ 23,122,038
Belmont	1,439,125	955,570	2,811,517	10,980,372	2,446,824	699,276	19,332,684
Saratoga	962,525	422,574	1,257,784	4,268,108	1,025,996	283,097	8,220,084
Total NYRA Direct	3,975,460	2,885,405	7,398,729	28,452,950	6,142,596	1,819,666	50,674,806
NYRA Regional	4,250,413	2,986,229	5,144,761	22,238,941	4,131,770	3,170,940	41,923,054
Finger Lakes Direct	464,067	209,689	236,427	1,102,584	197,930	1,358,796	3,569,493
Finger Lakes Regional	898,323	587,570	1,026,544	4,237,279	882,353	1,108,952	8,741,021
Total New York State Thoroughbred	9,588,263	6,668,893	13,806,461	56,031,754	11,354,649	7,458,354	104,908,374
New York State Harness:							
Batavia Direct	4,106	3,202	1,525	6,967	1,314	206,873	223,987
Batavia Regional	-	-	-	-	-	1,032,352	1,032,352
Buffalo Direct	5,553	3,063	3,138	4,967	2,651	171,490	190,862
Buffalo Regional	-	-	-	-	-	1,259,844	1,259,844
Monticello Direct	89,969	284,123	232,360	822,479	146,297	93,463	1,668,691
Monticello Regional	-	542,133	764,060	3,115,432	549,252	-	4,970,877
Saratoga Direct	338,191	27,080	28,172	96,235	21,899	76,410	587,987
Saratoga Regional	3,199,344	-	-	-	-	-	3,199,344
Syracuse Mile Direct	-	-	-	-	-	-	-
Syracuse Mile Regional	-	-	-	-	-	-	-
Vernon Direct	73,732	4,272	7,098	6,688	3,241	42,240	137,271
Vernon Regional	743,930	6,182	-	-	-	297,845	1,047,957
Yonkers Direct	99,418	377,293	482,353	2,728,781	468,564	66,215	4,222,624
Yonkers Regional	-	1,070,757	1,649,159	6,208,527	1,241,635	-	10,170,078
Total New York State Harness	4,554,243	2,318,105	3,167,865	12,990,076	2,434,853	3,246,732	28,711,874
Total Payments to New York State Tracks	14,142,506	8,986,998	16,974,326	69,021,830	13,789,502	10,705,086	133,620,248
Out-of-State Thoroughbred	2,047,228	1,376,082	2,307,116	10,731,905	1,975,651	1,523,827	19,961,809
Out-of-State Harness	481,529	467,528	708,534	2,264,728	574,216	506,877	5,003,412
Total Payments to Out-of-State Tracks	2,528,757	1,843,610	3,015,650	12,996,633	2,549,867	2,030,704	24,965,221
Special Events:							
Breeders Cup	86,858	39,180	55,178	233,752	54,372	43,125	512,465
Kentucky Derby	90,038	78,151	77,563	252,701	70,747	73,530	642,730
Preakness Stakes	62,478	45,794	49,634	160,345	45,343	42,140	405,734
Total Special Events	239,374	163,125	182,375	646,798	170,462	158,795	1,560,929
Total Payments to All Tracks	\$16,910,637	\$10,993,733	\$20,172,351	\$ 82,665,261	\$16,509,831	\$12,894,585	\$160,146,398

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