

## **Licenses in other Jurisdictions**

## **Disciplinary Actions Brought**

Please find attached (Attachment VIII.A.14.b\_A1) an exhibit containing information related to notices of violations issued by gaming regulatory agencies for Caesars Entertainment Corporation during the past five (5) years.

Attachment VIII.A.14.b\_A1

## NOTICES OF VIOLATIONS, DISCIPLINARY ACTIONS, AND COMPLAINTS January 2009 through March 2014

## CAESARS ENTERTAINMENT CORPORATION

1.	Property: Regulatory Agency: Action:	<u>Caesars Entertainment Corporation</u> Illinois Gaming Board Complaint for Disciplinary Action
	Case Number:	DC-11-13
	Date Open:	1/25/11
	Allegation:	Caesars Entertainment failed to adequately review duplicate patron accounts created when Caesars acquired Planet Hollywood. This failure caused Illinois Self-Excluded Patrons to receive promotional materials from Harrah's Joliet and Harrah's Metropolis.
	Proposed Penalty:	\$100,000
	Status:	Payment of \$100,000 made on 3/29/11.
2.	Property:	Harrah's Las Vegas
		Caesars Las Vegas
		Caesars Entertainment Corporation
	Regulatory Agency:	Nevada Gaming Control Board
	Action:	Order to Show Cause (issued to Harrah's Las Vegas)
	Casa Number	Complaint (Harrah's, Caesars Palace and Caesars Entertainment) OSC-CC-11-02
	Case Number:	NGC 12-03
	Date Open:	12/1/2011
	Allegations:	The property allowed an underage patron to gamble and served the patron alcoholic beverages on 8/3/2011. Employees who served the beverages to the patron did not ask for identification (ID), and the gaming employees who were in contact with the patron did not ask for identification. (The matter was self-reported to the Board.) Complaint:
		Count One: The incident at Harrah's Las Vegas as described above. Count Two: On 11/30/11, Caesars Las Vegas served an underage patron alcoholic
		<ul> <li>beverages and did not request ID.</li> <li>Count Three: On 1/9 and 1/10/12, Harrah's Las Vegas allowed an underage patron to consume alcoholic beverages ad gamble without any employees requesting ID.</li> <li>Count Four: On 5/14/12, Caesars Las Vegas allowed an underage patron to gamble after presenting ID indicating he was under 21 years of age.</li> <li>Count Five: Caesars Entertainment's failure to take sufficient action with regard to</li> </ul>
	Demoltery	its licensed subsidiaries to prevent the incidents outlined in the above counts reflect or tend to reflect poorly on the reputation of gaming.
	Penalty: Status:	\$100,000 Response submitted 2/6/2012 advising of corrective actions taken related to this matter. Stipulation for Settlement and Order approved by the Nevada Gaming Control Board on 7/9/2012. Payment made 7/27/2012. A written response was provided to the Board on September 24, 2012 providing an update on all corrective actions taken to ensure compliance with all applicable laws and regulations.

3.	Property:	Caesars Entertainment Corporation
	Regulatory Agency:	Missouri Gaming Commission
	Action:	Proposed Disciplinary Action
		Preliminary Order for Disciplinary Action
	Case Number:	DC-13-369
	Date Open:	12/21/2012
	-	6/7/2013
	Allegation:	Safekeeping funds from the Harrah's North Kansas City property were placed in an accounts payable account with Caesars Entertainment, and those funds with "unknown owners" were submitted to the State of Delaware
		Instead of the State of Missouri, as required.
	Proposed Penalty:	\$50,000
	Status:	Payment made 7/12/2013.

4.	Property:	Caesars Entertainment Corporation
	Regulatory Agency:	New Jersey Division of Gaming Enforcement
	Action:	Action in Lieu of Complaint
	Case Number:	None
	Date Open:	3/7/2013
	Allegation:	The Company failed to exercise discretion and sound judgment in handling events surrounding a certain patron in 2006-2007.
	Civil Penalty:	\$225,000
	Status:	Payment made 3/11/2013.