



Gaming Commission

One Broadway Center, P.O. Box 7500, Schenectady, NY 12301-7500
www.gaming.ny.gov

February 16, 2018

Notice of Violation 18-Rivers-01
Sent Via Electronic Mail

██████████
██
██████████
██

Dear ██████████,

The New York State Gaming Commission, Division of Gaming has investigated an incident that occurred on January 25, 2018 at Rivers Casino and Resort during which an underage patron was allowed access to the gaming floor.

Commission Commercial Gaming Supervising Inspector ██████████ and Commercial Gaming Inspector ██████████ responded to the security call of an underage patron accessing the gaming floor. An investigation of this incident was conducted by Ms. ██████████ and Mr. ██████████ who verified the details of this incident. A summary of the incident indicates the following:

On 1/25/2018 at approximately 11:26 hours, Security Officer ██████████ is observed denying one underage female access at the South West Security Post. At 11:26:35 hours, Officer ██████████ notifies the elevator security post, security control, ██████████ and the Gaming Commission of one underage female being denied access at the South West Security Post. The underage female was accompanied by an unidentified male. Senior Inspector ██████████ acknowledged the denial at South West. At 11:29:45 hours, the underage female is observed gaining access to the gaming floor through the elevator security post with an unknown elderly male. Security Officer ██████████ and Security Officer ██████████ are observed failing to request identification from the underage female. At 11:29:58 hours, ██████████ is overheard on the security radio channel advising the elevator security post that the underage female walked through that post. Between 11:30:00 hours to 11:30:20 hours, the underage female and male walk through the gaming floor between pits seven and eight. At 11:30:15 hours, Security Officer ██████████ is observed contacting the underage female near roulette table ██████████ and requesting identification. The underage patron is observed motioning to the fact that she does not have any identification. At 11:30:35 hours, Officer ██████████ is observed escorting the underage female and the elderly male of the gaming floor through pit ██████████ and ██████████. At 11:30:57 hours, the underage female and male are observed off the gaming floor.

At no time is the underage female observed gaming or consuming alcohol.

Review showed the following:

Timeline		Narrative Description of Events
1126:02	█	Security Officer █ is observed denying the underage female.
1126:35	█	Security Officer █ notifies Elevator Post, █ and NYSGC that he denied the underage female at South West.
1129:45	█	The underage female accesses the Gaming Floor at the Elevator Post. Officers █ and █ fail to request ID.
1129:58	█	█ advises Elevator Post that the underage female walked past their post.
1130:00	█	The underage female walks the Gaming Floor between Pits █ and █
1130:15	█	Officer █ stops the underage female and requests ID. The underage female motions to not having any.
1130:35	█	Officer █ escorts the underage female off the Gaming Floor between Pits █ and █
1130:57	█	The underage female is observed off the Gaming Floor.

As part of Inspectors █ and █ investigation of the incident, statements were obtained from Officers █ █ █, Security Supervisor █ and surveillance personnel. Surveillance personnel confirmed with Supervisor █ that the security unit at Rivers was treating this as an underage incident.

After a review of the facts and circumstances as described above, evidence shows an unidentified underage patron to be on the gaming floor for approximately one minute. As such, Rivers Casino and Resort is in violation of 9 NYCRR § 5313.2(d)(3). Paragraph (3) states that a gaming facility licensee shall not allow or permit any person who is under 21 years of age to be present on the gaming floor without the escort of a licensed gaming facility employee and for longer than necessary to reach a destination that is not on the gaming floor.

A violation of paragraph (3) carries a fine of \$25,000 for a sixth occurrence and such further action as the Commission may deem appropriate, which may include, without limitation, the suspension or revocation of the occupational license of any key employee found to be responsible for the violation. As a reminder, the fifth occurrence was set forth in my letter of January 12, 2018.

Please remit the amount of this fine (\$25,000) to the New York State Gaming Commission within 30 days of this letter. █

█. Payments not timely made will be

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subject to interest and collection fees pursuant to State Finance Law section 18. This violation has been assigned 18-Rivers-01.

If you wish to contest either of the violations contained in this letter, you may request a hearing pursuant to Article 3 of the State Administrative Procedure Act and Article 13 of the Racing, Pari-Mutuel Wagering and Breeding Law. Please submit a request for a hearing to Kristen Buckley, Acting Secretary to the Commission, New York State Gaming Commission, P.O. Box 7500, Schenectady, New York 12301 within 30 days of receipt of this letter.

Sincerely,

A handwritten signature in black ink, appearing to read 'T. Anapolis', with a stylized flourish at the end.

Thomas A. Anapolis
Director, Division of Gaming