

NEW YORK STATE GAMING COMMISSION VIOLATION NOTIFICATION

A. Facility/Vendor:
Resorts World Casino NYC

Date of Notification: 03/17/2022

Date of Violation: 03/14/2022

Case Number: Gaming 22-003

B. Facility/Employee Cited:

<u>Name</u>	<u>License #</u>	<u>Address</u>
Resorts World Casino		110-00 Rockaway Blvd Jamaica, NY 11420
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]

Statute, Regulation or Internal Control Violated:

Rules and Regulations Chapter IV (Division of Gaming) Subchapter A (Video Lottery Gaming) 9 NYCRR §§ 5100-5122.4 updated (4/19)

§ 5121.7. Drop boxes, transportation to and from video lottery terminals, storage.

(b) Except as otherwise provided in paragraph (3) of this subdivision, all drop boxes removed from video lottery terminals shall be transported directly to and secured in the count room by at least one video lottery gaming security department member.

(1) Upon the removal of a drop box from a video lottery terminal, such drop box shall be immediately placed in an enclosed trolley that is secured by two separately keyed locks. The key to one lock shall be maintained and controlled by a video lottery gaming department member. The key to the second lock shall be maintained and controlled by the video lottery gaming security department in a secure location. Access to the video lottery gaming security department's key shall be limited to supervisors in that department and shall be controlled, at a minimum, by a sign-out and sign-in procedure approved in writing by the commission. The video lottery gaming security department key shall be returned to such key's secure location immediately upon the completion of the collection and transportation of the drop boxes.

Minimum Internal Control Standards for New York State Video Lottery Gaming Agents. Revised effective 9/14/10

Control Category

Cash Receipts and Disbursements

Control Sub-Category

Currency Acceptor Drop

Control Objective

To ensure timely and secure replacement, collection, and surveillance of the currency acceptor drop process.

Controls

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]



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Summary of Violations:

On March 14, 2022, at approximately 0536 hours, it was observed that during the Drop & Count process, [redacted] [redacted] and [redacted] were pulling hot boxes at [redacted] and [redacted] banks. The hot boxes were left out in the open on top the VLT's for nearly 18 minutes before being placed onto carts and transferred to the trolley. At approximately 0557 hours, Surveillance notified [redacted] and one coordinator returned to begin removing the boxes. [redacted] was also present.

The Division of Gaming hereby levies a total fine of \$2,500.00 USD to Resorts World Casino New York City located in Queens, New York 11420. Please remit the fine to the New York State Gaming Commission on or before 04/17/2022. [redacted]

[redacted]. If you wish to contest the violation set forth in this notice, you may request an administrative review by the New York State Gaming Commission including a hearing pursuant to Racing, Pari-Mutuel Wagering and Breeding Law 104(6). Please submit a request for any such administrative review to Kristen Buckley, Acting Secretary to the Commission, New York State Gaming Commission, One Broadway Center, Schenectady, New York 12305, within 30 days of receipt of this notice.

Facility Explanation of Violation (use additional sheets if required) *:

C. Action Taken by Facility:

D. Name of Individual Completing this Form:

Printed: Thomas Anapolis

Signature: [Handwritten Signature]

Title: Director, Division of Gaming

Date: 03/17/2022

*To avoid further action pursuant to Video Gaming Regulation §5113.1, please provide sufficient explanation and action taken by facility within 30 days of the date of this notification. Please submit this completed form to:

New York State Gaming Commission
Video Gaming Unit
One Broadway Center
Schenectady, NY 12301

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For New York State Gaming Commission Use Only:

cc: Edmund Burns, General Counsel
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