

**NEW YORK STATE GAMING COMMISSION
VIOLATION NOTIFICATION**

A. Facility Cited: Empire City Casino by MGM Resorts
Date of Notification: May 20, 2021
NYSGC Case Number: YO-001-2021

B. Vendor/Employee Cited:

<u>Name</u>	<u>License #</u>	<u>Address</u>
[REDACTED]	[REDACTED]	[REDACTED]

C. Regulations, Bulletins and/or Internal Controls Violated:

9 NYCRR § 5121.1 - Closed circuit television system; surveillance department control; surveillance department restrictions

Bulletin #13 – Video Gaming Facility Surveillance System and Electronic Access Control Standards
 Section II Paragraph 12
 Section II Paragraph 15
 Section IV Paragraph 1
 Section VI Paragraph 1

D. Summary of Violations:

On April 16, 2021, the Empire City Casino surveillance department, in conjunction with SSI technicians, conducted an upgrade to the surveillance system without the required approval from the Commission. [REDACTED]

[REDACTED]

[REDACTED] On April 20, 2021, Compliance Director [REDACTED] became aware of the issues with the upgrade and notified Commission on-site supervisor [REDACTED], who then relayed such information to the Commission’s main office staff.

Once notified, main office staff, including [REDACTED], [REDACTED], and [REDACTED], contacted General Manager [REDACTED] and Chief Operating Officer [REDACTED], neither of whom were aware of any problems with the surveillance upgrade after the upgrade occurred four days prior. Once made aware of these issues, both Mr. [REDACTED] and Mr. [REDACTED] were responsive and were able to obtain the necessary information requested by the Commission.

[REDACTED]



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E. Fine Amount: \$10,000

F. Individual Completing this Form:

Printed: Jim Googas

Signature: 

Title: Manager, Gaming Operations

Date: May 20, 2021

If you wish to contest the violation contained in this letter, you may request a hearing pursuant to Article 3 of the State Administrative Procedure Act and Article 13 of the Racing, Pari-Mutuel Wagering and Breeding Law by submitting your request for such a hearing within 30 days of receipt of this letter to:

**Kristen Buckley, Acting Secretary to the Commission
New York State Gaming Commission
P.O. Box 7500
Schenectady, New York 12301**

Failure to request a hearing within 30 days shall be considered your waiver of any available hearing, and any requests for a hearing received after 30 days of your receipt of this letter shall be rejected as untimely.

**cc: Thomas Anapolis, Director, Division of Gaming
Edmund Burns, General Counsel
Chris Palmer, Deputy Director, Division of Gaming
Bureau of Licensing**