



NEW YORK STATE GAMING COMMISSION VIOLATION NOTIFICATION

A. Facility/Vendor:
Saratoga Casino Hotel

Date of Notification: 8/24/2017

Date of Violation: 07-18-2017

Case Number: Gaming 17-004

B. Facility/Employee Cited:

<u>Name</u>	<u>License #</u>	<u>Address</u>
Saratoga Casino Hotel		342 Jefferson St, Saratoga Springs, NY 12866
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]

C. Statute, Regulation or Internal Control Violated:

New York State Gaming Commission Rules and Regulations

§ 5117.1. Underage gaming violations.

- (a) No video lottery gaming agent, representative, licensed employee or contractor thereof, shall allow, permit or suffer any person under the age of 18 years (underage person) to:
 - (3) be present on the gaming floor without the escort of a licensed video lottery facility employee and for longer than necessary to reach a destination that is not on the gaming floor.
- (2) The commission shall penalize a licensee found to have violated paragraph (3) of subdivision (a) of this section as follows:
 - (i) for a first violation, a fine of \$1,000;

D. Summary of Violations:

On July 18, 2017 at approximately 11:49 PM, a 14 years old female patron entered through the main entrance ([REDACTED]) with an older woman who is presumed to be her mother. Security Officer [REDACTED] who was posted there, failed to look in their direction and check ID. The minor sat down at VLT [REDACTED] but was shortly approached by Security Officer [REDACTED] at 11:51PM. Officer [REDACTED] happened to have denied them entrance earlier that night for producing an underage ID. They were escorted out of the facility at 11:53PM.

Based on surveillance video, the minor did not play at any VLT during the time she was on the gaming floor. Security should have checked identification at the door and provided escort to prevent underage loitering or gaming. Security Officer [REDACTED] was not diligent enough to prevent the violation. It is recommended for the facility to ensure that all staff understands their duties and remain vigilant at all times.

Accordingly, the Division of Gaming hereby levies a fine of \$1,000 to Saratoga Casino Hotel. Please remit the fine to the New York State Gaming Commission on or before 9/25/2017. [REDACTED]

If you wish to contest the violation set forth in this notice, you may request an administrative review by the New York State Gaming Commission including a hearing pursuant to Racing, Pari-Mutuel Wagering and Breeding Law 104(6). Please submit a request for any such administrative review to Kristen Buckley, Acting Secretary to the Commission, New York State Gaming Commission, One Broadway Center, Schenectady, New York 12305, within 30 days of receipt of this notice.

Facility Explanation of Violation (use additional sheets if required)*:




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E. Action Taken By Facility:

F. Name of Individual Completing this Form:

Printed: Thomas A Anapolis

Signature: 

Title: Director, Division of Gaming

Date: 08/24/2017

***To avoid further action pursuant to Video Gaming Regulation §5113.1, please provide sufficient explanation and action taken by facility within 30 days of the date of this notification. Please submit this completed form to:**

**New York State Gaming Commission
Video Gaming Unit
One Broadway Center
Schenectady, NY 12301**

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For New York State Gaming Commission Use Only:

cc: **Edmund Burns, General Counsel
Chris Palmer, Deputy Director, Division of Gaming
Licensing**