



## NEW YORK STATE GAMING COMMISSION VIOLATION NOTIFICATION

**A. Facility/Vendor:**

Aqueduct / Resorts World Casino New York City

**Date of Notification:** 09-17-2021

**Date of Violation:** 09-12-2021

**Case Number:** Gaming 21-004

**B. Facility/Employee Cited:**

Name

License #

Address

[REDACTED]

[REDACTED]

[REDACTED]

**C. Statute, Regulation or Internal Control Violated:**

**5118.9 Hours of Operations**

The hours of operation of video lottery gaming at all licensed video lottery gaming facility locations shall be 20 consecutive hours per day, unless otherwise approved by the commission in writing after a video gaming agent applies for an exception at least 60 days in advance of a proposed change. In no event shall video lottery gaming be conducted past the time set forth in subdivision b of Section 1617-a of the Tax Law. Public access to the video lottery gaming floor must be restricted at all times video lottery gaming is not in operation.

The failure of the video lottery gaming agent to comply with the hours of operation set forth in this section shall be a violation of these regulations.

**D. Summary of Violations:**

On Sunday, September 12, 2021, at approximately 0822 hours, an unknown person accessed the Bus Lobby of Resorts World Casino. The person was later identified as [REDACTED]. Without any interference, [REDACTED] took the escalator and proceeded up to the first (1st) floor. Then [REDACTED] walked to the escalators that led up to the second (2nd) floor. At approximately 0823 hours, Security Officer [REDACTED] [REDACTED] was at his post but did not interfere nor attempt to interact with him. [REDACTED] continued without interruption to the second (2nd) floor, where he walked onto the gaming floor and proceeded to [REDACTED]. At approximately 0826 hours, he cleaned the game, sat down, deposited \$200.00 into the [REDACTED] and began to place wagers. At 0838 hours, [REDACTED] again deposited \$200.00 into the [REDACTED] and continued to place wagers. At approximately 0843 hours, Interblock technician [REDACTED] noticed [REDACTED] and alerted Dual Rate Slot Attendant (SA) [REDACTED] to [REDACTED] presence. [REDACTED] approached [REDACTED] passed behind him, observed what he was doing and returned to his daily work. [REDACTED] did not make efforts to inform anyone of the early play patron, attempt to radio for Security or alert any other staff of gaming activity. At approximately 0854 hours, a second Slot Attendant, [REDACTED] [REDACTED] approached [REDACTED] and engaged in conversation. [REDACTED] immediately



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engaged in conversation with them. (SA) [REDACTED] immediately walked over to the Security podium where he engaged with multiple Security Officers and Security Manager [REDACTED] ([REDACTED]). At 0855 hours, [REDACTED] was instructed by Security Manager [REDACTED] ([REDACTED]) to cash out. [REDACTED] terminated the game play and printed a voucher valued at \$550.95. [REDACTED] was then escorted to the Interview Room. [REDACTED] provided [REDACTED], DOB [REDACTED], residing at [REDACTED] as his means of identification.

The Division of Gaming hereby levies a fine of \$1,000.00 (One Thousand) for Un-secured /un-manned entrance and \$5000.00 (Five Thousand) for early gaming, total of \$6000.00 (Six Thousand) to RWC NYC.

Please remit the fine to the New York State Gaming Commission on or before 10/15/2021. [REDACTED]

[REDACTED] If you wish to contest the violation set forth in this notice, you may request an administrative review by the New York State Gaming Commission including a hearing pursuant to Racing, Pari-Mutuel Wagering and Breeding Law 104(6). Please submit a request for any such administrative review to Kristen Buckley, Acting Secretary to the Commission, New York State Gaming Commission, One Broadway Center, Schenectady, New York 12305, within 30 days of receipt of this notice.

### E. Facility Explanation of Violation (use additional sheets if required) \*:

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### F. Action Taken by Facility:

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### G. Name of Individual Completing this Form:

## NEW YORK STATE GAMING COMMISSION VIOLATION NOTIFICATION

Name of Individual Completing this Form:



Printed: Thomas A. Anapolis Signature: \_\_\_\_\_

Title: Director, Gaming Division Date: 9/17/2021

\*To avoid further action pursuant to Video Gaming Regulation §5113.1, please provide sufficient explanation and action taken by facility within 30 days of the date of this notification. Please submit this completed form to:

New York State Gaming Commission  
Video Gaming Unit  
One Broadway Center  
Schenectady, NY 12301

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For New York State Gaming Commission Use Only:

cc: Edmund Burns, General Counsel  
Chris Palmer, Deputy Director, Gaming Division  
Licensing